# How to uncross some modular metrics \*

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Dedicated to the memory of Walter Deuber

#### Abstract

Let  $\mu$  be a metric on a set T, and let c be a nonnegative function on the unordered pairs of elements of a superset  $V \supseteq T$ . We consider the problem of minimizing the inner product  $c \cdot m$  over all semimetrics m on V such that m coincides with  $\mu$  within T and each element of V is at zero distance from T (a variant of the multifacility location problem). In particular, this generalizes the well-known multiterminal (or multiway) cut problem.

Two cases of metrics  $\mu$  have been known for which the problem can be solved in polynomial time: (a)  $\mu$  is a modular metric whose underlying graph  $H(\mu)$  is hereditary modular and orientable (in a certain sense); and (b)  $\mu$  is a median metric. In the latter case an optimal solution can be found by use of a cut uncrossing method.

In this paper we generalize the idea of cut uncrossing to show the polynomial solvability for a wider class of metrics  $\mu$ , which includes the median metrics as a special case. The metric uncrossing method that we develop relies on the existence of retractions of certain modular graphs. On the negative side, we prove that for  $\mu$  fixed, the problem is NP-hard if  $\mu$  is non-modular or  $H(\mu)$  is non-orientable.

Keywords: location problem, multiterminal (multiway) cut, modular graph

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### 1 Introduction

We deal with a variant of the multifacility location problem. In its setting, there are a finite metric space  $(T, \mu)$ , a finite set X, and a nonnegative function c on the pairs of elements of  $T \cup X$ . (The elements of T are thought of as the points where the existing facilities are located, the elements of X as new facilities, and c(x,y) as a measure of communication between x to y.) The objective is to place each new facility at a point of T minimizing the sum of values  $c(x,y)\mu(x',y')$ , where x,y range over the pairs of facilities and x',y' are the points of T where x,y are placed. For a survey on location problems, see, e.g., [15].

This problem can be reformulated in terms of metric extensions. We start with some terminology and notation. A semimetric on a set S is a function  $d: S \times S \to \mathbf{R}_+$  that establishes distances on the pairs of elements (points) of S satisfying d(x,x) = 0, d(x,y) = d(y,x) and  $d(x,y) + d(y,z) \ge d(x,z)$ , for all  $x, y, z \in S$ . We use notation xy for an unordered pair  $\{x,y\}$  and usually write d(xy) instead of d(x,y). The set of pairs xy with  $x \ne y$  is denoted by  $E_S$ . When d(xy) > 0 for all  $xy \in E_S$ , d is a metric. We do not distinguish between the (semi)metric d and the (semi)metric space (S,d) and usually deal with only finite (semi)metric spaces. A special case is the path metric  $d^G$  of a connected graph G, where  $d^G(xy)$  is the minimum number of edges of a path in G connecting nodes x and y.

A semimetric m on a set  $V \supseteq S$  is said to be an extension of d if the restriction (submetric) of m to S is just d. Such an m is called a 0-extension if the distance m(x,S) from each point  $x \in V$  to S is zero, i.e., m(xs) = 0 for some  $s \in S$ . Clearly each 0-extension m is uniquely determined by the 0-distance sets  $X_s = \{x \in V : m(xs) = 0\}$ ,  $s \in S$ , and these sets give a partition of V when d is a metric.

The above problem is equivalent to the minimum  $\theta$ -extension problem: Given a metric  $\mu$  on a set T, a superset  $V \supseteq T$ , and a function  $c: E_V \to \mathbf{Z}_+$ ,

(1.1) Find a 0-extension m of  $\mu$  to V with  $c \cdot m := \sum (c(e)m(e) : e \in E_V)$  minimum.

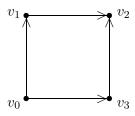
In this paper we extend earlier results on the complexity of (1.1) for fixed metrics  $\mu$ .

Two classes of metrics  $\mu$  have been found for which (1.1) is solvable in polynomial time. One class consists of the metrics for which (1.1) becomes as easy as its linear programming relaxation. More precisely, let  $\tau = \tau(V, c, \mu)$  denote the minimum  $c \cdot m$  in (1.1), and let  $\tau^* = \tau^*(V, c, \mu)$  denote the minimum  $c \cdot m$  in the problem:

(1.2) Find an extension m of  $\mu$  to V with  $c \cdot m$  minimum.

Then  $\tau \geq \tau^*$ . A metric  $\mu$  is called *minimizable* if  $\tau(V, c, \mu) = \tau^*(V, c, \mu)$  holds for any V and c. Since (1.2) is a linear program whose constraint matrix size is polynomial in |V|, (1.2) is solvable in strongly polynomial time. This easily implies that for every minimizable metric  $\mu$ , on optimal 0-extension in (1.1) can be found in strongly polynomial time as well. The following theorem characterizes the set of minimizable path metrics.

**Theorem 1.1** [11] For a graph H, the metric  $d^H$  is minimizable if and only if H is hereditary modular and orientable.



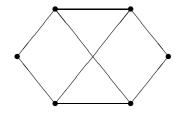


Figure 1: (a) An orientation of a 4-circuit

(b)  $K_{3,3}^-$ 

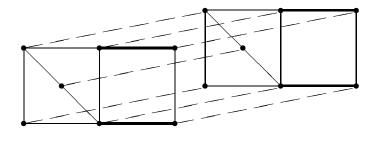
Recall that a metric  $\mu$  on T is modular if every three points  $s_0, s_1, s_2 \in T$  have a median, a node  $z \in T$  satisfying  $\mu(s_iz) + \mu(zs_j) = \mu(s_is_j)$  for all  $0 \le i < j \le 2$ . A graph H is called modular if  $d^H$  is modular, and hereditary modular if every isometric subgraph of H is modular, where a subgraph (or circuit) H' = (T', U') of H is isometric if  $d^{H'}(st) = d^{H}(st)$  for all  $s, t \in T'$ . Every modular graph is bipartite. A graph is called orientable if its edges can be oriented so that for any 4-circuit  $C = (v_0, e_1, v_1, ..., e_4, v_4 = v_0)$  and i = 1, 2, the edge  $e_i$  is oriented from  $v_{i-1}$  to  $v_i$  if and only if the opposite edge  $e_{i+2}$  is oriented from  $v_{i+2}$  to  $v_{i+1}$ ; see Fig. 1(a). For example, every bipartite graph with at most five nodes is hereditary modular and orientable. The simplest hereditary modular but not orientable graph is the graph  $K_{3,3}^-$  obtained from  $K_{3,3}$  by deleting one edge; see Fig. 1(b). Using terminology in [11], we refer to an orientable hereditary modular graph as a frame.

Theorem 1.1 is extended to general metrics using the notion of underlying graph of  $\mu$ . This is the least graph  $H(\mu) = (T, U(\mu))$  which enables us to restore  $\mu$  if we know the distances of its edges. Formally, nodes  $x, y \in T$  are adjacent in  $H(\mu)$  if and only if no other node  $z \in T$  lies between x and y, i.e., satisfies  $\mu(xz) + \mu(zy) = \mu(xy)$ . This graph is modular if  $\mu$  is modular [1].

**Theorem 1.2** [3] A metric  $\mu$  is minimizable if and only if  $\mu$  is modular and  $H(\mu)$  is a frame.

Another tractable case involves median metrics, the metrics  $\mu$  with precisely one median for each triplet of points. Chepoi [5] showed that (1.1) with any median metric  $\mu$  is solvable in strongly polynomial time. A simple alternative method, based on cut uncrossing techniques, is suggested in [11]. Note that a minimizable metric need not be a median one, and vice versa. For example,  $d^{K_{2,3}}$  is minimizable but not median, while the path metric of the (skeleton of the) cube is median but not minimizable (the cube is not hereditary modular as it contains an isometric 6-circuit).

In this paper we show the polynomial solvability for a class of modular metrics which includes the median ones as a special case. It uses the notion of orbit graphs that we now introduce. Given a modular graph H = (T, U), two edges are called *mates* if they are opposite in some 4-circuit; when dealing with graphs with possible parallel edges, we refer to such edges as mates as well. Edges e, e' of H are called *projective* if there is a sequence  $e = e_0, e_1, \ldots, e_k = e'$  of edges such that



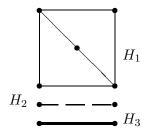


Figure 2: graph H

orbit graphs

any two consecutive  $e_i$ ,  $e_{i+1}$  are mates; such a sequence is called *projective* too. A maximal set Q of mutually projective edges is called an *orbit*. Define the *orbit graph*  $H_Q$  to be H/(U-Q), where for a graph H' and a subset Z of its edges, H'//Z denotes the graph obtained by contracting Z (i.e., forming H'/Z) and then identifying parallel edges appeared.

The main result in this paper is the following.

**Theorem 1.3** Let  $\mu$  be a modular metric with underlying graph H = (T, U), and let for each orbit Q of H,

- (i) the orbit graph  $H_Q$  is a frame, and
- (ii)  $H_Q$  is isomorphic to some subgraph of the graph (T,Q).

Then (1.1) can be solved in strongly polynomial time.

We shall explain later that each orbit graph of a frame is a frame, and each orbit graph of a median graph is  $K_2$ , which is a trivial case of frames. Since condition (ii) in Theorem 1.3 obviously holds when  $H_Q$  is  $K_2$ , this theorem generalizes the above result for median metrics. On the other hand, the set of metrics  $\mu$  in this theorem does not contain some minimizable metrics since there are frames H for which (ii) is not valid. One can show that (ii) holds when each orbit graph is either  $K_2$  or  $K_{2,r}$  for  $r \geq 3$ , the simplest cases of frames with one orbit. Figure 2 illustrates the graph H with three orbits, drawn by thin, dashed and bold lines, whose orbit graphs are  $H_1 \simeq K_{2,3}$ ,  $H_2 \simeq K_2$  and  $H_3 \simeq K_2$ .

The proof of Theorem 1.3 will involve a number of reductions. One of them is to show that this theorem can be derived from Theorem 1.1 and Theorem 1.4 below that claims the existence of a retraction for certain graphs. Here a retraction of a bipartite graph K = (V(K), E(K)) onto its subgraph K' = (V(K'), E(K')) is meant to be a mapping  $\gamma : V(K) \to V(K')$  which is identical on V(K') (i.e.,  $\gamma(v) = v$  for all  $v \in V(K')$ ) and brings each edge of K to an edge of K' (i.e.,  $\gamma(u)\gamma(v) \in E(K')$  for all  $uv \in E(K)$ ). Suppose K is the Cartesian product  $H_1 \times \ldots \times H_k$  of graphs  $H_i = (T_i, U_i), i = 1, \ldots, k, \text{ i.e.}, V(K) = T_1 \times \ldots \times T_k$  and nodes  $(s_1, \ldots, s_k)$  and  $(t_1, \ldots, t_k)$  of K are adjacent if and only if there is  $i \in \{1, \ldots, k\}$  such that  $s_i t_i \in U_i$  and  $s_j = t_j$  for  $j \neq i$ . For a subgraph K' of K and  $i \in \{1, \ldots, k\}$ , an i-layer of K' is a maximal subgraph of K' induced by nodes  $(t_1, \ldots, t_k)$  with  $t_1, \ldots, t_{i-1}, t_{i+1}, \ldots, t_k$  fixed.

**Theorem 1.4** Let K be the Cartesian product of frames  $H_i = (T_i, U_i)$ , i = 1, ..., k. Let K' be an isometric subgraph of K such that K' is modular and for each i = 1, ..., k, some of the i-layers of K' is isomorphic to  $H_i$ . Then there exists a retraction of K onto K'.

(Note that K' is not an absolute retract in general, i.e., K' need not admit retraction of any bipartite graph which contains K' as an isometric subgraph. Necessary and sufficient conditions on a bipartite graph to be an absolute retract are given in [4].) In our case, the role of graphs  $H_i$  and K' in Theorem 1.4 will play the graphs  $H_Q$  and H in Theorem 1.3, using the important observation that H has a canonical isometric embedding in the Cartesian product K of its orbit graphs. It turns out that Theorem 1.4 can be rather easily reduced to its special case with k = 2; moreover, such a reduction takes place for arbitrary modular graphs  $H_1, \ldots, H_k$ . To show the existence of a retraction for this special case, with  $H_1, H_2$  frames, is the core of the whole proof of Theorem 1.3. Such a retraction is just behind our "metric uncrossing operation", an analogue of the cut uncrossing operation for 0-extensions of the corresponding orbit metrics (when both  $H_1, H_2$  are  $K_2$ , the retraction is evident and it induces the uncrossing of two cuts, as we explain later).

Next we deal with intractable cases. When  $\mu = d^{K_p}$ , (1.1) turns into the minimum multiterminal (or multiway) cut problem, which is strongly NP-hard already for p = 3 [7]. That result has been generalized to a larger set of path metrics.

**Theorem 1.5** [11] For a fixed graph H, problem (1.1) with  $\mu = d^H$  is strongly NP-hard if H is non-modular or non-orientable.

We extend this theorem as follows.

**Theorem 1.6** For a fixed rational-valued metric  $\mu$ , (1.1) is strongly NP-hard if  $\mu$  is non-modular or if the underlying graph  $H(\mu)$  is non-orientable.

The structure of this paper is as follows. Section 2 demonstrates some basic properties of modular metrics and graphs and their orbit graphs. Section 3 describes our approach to proving Theorem 1.3 and is aimed to explain why this theorem reduces to Theorem 1.4 with k=2. The desired retraction is constructed in Section 4, using combinatorial arguments and relying on some result concerning the tight spans of minimizable path metrics from [11]. The construction also relies on a key lemma proved in Section 5. The proof of Theorem 1.6 is given in Section 6.

By technical reasons, in problems (1.1) and (1.2) we will sometimes admit  $\mu(st) = 0$  for distinct s, t and may speak about minimizable semimetrics rather than metrics; this does not affect the problem area in essense. The sets of extensions and 0-extensions of a (semi)metric  $\mu$  to a set V are denoted by  $\operatorname{Ext}(\mu, V)$  and  $\operatorname{Ext}^0(\mu, V)$ , respectively.

# 2 Modular metrics, modular graphs, and orbits

By a u-v path on a set V we mean any sequence  $P = (x_0, x_1, \ldots, x_k)$  of elements of V with  $x_0 = u$  and  $x_k = v$ . For a semimetric m on V, the m-length m(P) of P is  $m(x_0x_1) + \ldots + m(x_{k-1}x_k)$ ,

and P is called shortest w.r.t. m, or m-shortest, if m(P) = m(uv). If each pair  $e_i = x_{i-1}x_i$  is an edge of a graph G = (V, E), then  $P = (x_0, e_1, x_1, \ldots, e_k, x_k)$  is a path in G, and we say that P is G-shortest if its length |P| := k is equal to  $d^G(uv)$ . When it is not confusing, we abbreviate  $P = x_0x_1 \ldots x_k$ . Given nonnegative lengths  $\ell(e)$  of the edges  $e \in E$ , we denote by  $d^{G,\ell}(xy)$  the minimum length  $\ell(P) = \sum (\ell(x_{i-1}x_i) : i = 1, \ldots, k)$  of a path  $P = x_0x_1 \ldots x_k$  connecting nodes x and y in G (the path (semi)metric for  $G, \ell$ ). From the definition of the underlying graph  $H(\mu)$  of a metric  $\mu$  it follows that  $\mu = d^{H(\mu),\ell}$  for the restriction  $\ell$  of  $\mu$  to the edges of  $H(\mu)$ .

Bandelt [1] showed useful relations between modular graphs and metrics. They can be stated in terms of orbits as follows (cf. [12]).

- (2.1) For an orbit Q of a modular graph H=(T,U) and nodes  $u,v\in T$ , if P is a shortest u-v path and P' is a u-v path in H, then  $|P\cap Q|\leq |P'\cap Q|$ ; in particular,  $|P\cap Q|=|P'\cap Q|$  if both P,P' are shortest.
- (2.2) For a modular metric  $\mu$ , the graph  $H(\mu)$  is modular and  $\mu$  is *orbit-invariant*, i.e., it is constant on the edges of each orbit of  $H(\mu)$ .
- (2.3) For a modular graph H = (T, U) and an orbit-invariant function  $\ell : U \to \mathbf{R}_+$ , the semimetric  $\mu = d^{H,\ell}$  is modular,  $\mu(e) = \ell(e)$  for all  $e \in U$ , and every H-shortest path is  $\mu$ -shortest; moreover, if, in addition,  $\ell$  is positive, then  $H = H(\mu)$ , and the metrics  $d^H$  and  $\mu$  have the same sets of shortest paths.

Note that  $\mu$  need not be modular when  $H(\mu)$  is modular. (Properties (2.2) and (2.3) are easily derived from (2.1). The latter can be seen as follows (a sketch). Let w be the node of P following u. One may assume P' is simple and all intermediate nodes x of P' are different from w. Since P' is shortest and P' is bipartite, some node P' satisfies P' are different from P' where P' are the neighbours of P' in P'. Take a median P' of P' and P' and P' are edges of P' projective to P' and P' is replacing P' obeys  $P'' \cap Q| = |P' \cap Q|$ , and we can apply induction since the sum of distances from P' to the nodes of P'' is less than the corresponding sum for P', in view of P' in view of P' is less than the corresponding sum for P', in view of P' is less than the corresponding sum for P', in view of P' is less than the corresponding sum for P', in view of P' is less than the corresponding sum for P' in view of P' in the same distances from P' in view of P' in the same distances from P' to the nodes of P'' is less than the corresponding sum for P', in view of P' is less than the corresponding sum for P', in view of P' in the same distances from P' in the same distances of P'' in the same distances from P' in the same distances of P'' in the same distances from P' in the same distances of P'' in the same distances o

By (2.3), every modular graph is the underlying graph for the class of modular metrics determined by positive orbit-invariant functions on its edges, and all these metrics have the same sets of shortest paths. This fact will often allow us to work with modular graphs rather than modular metrics.

Consider a modular graph H=(T,U), and let  $Q_1,\ldots,Q_k$  be the orbits of H. Let  $\chi^S$  denote the incidence vector of a subset  $S\subseteq U$ , i.e.  $\chi^S(e)=1$  for  $e\in S$ , and 0 for  $e\in U-S$ . Any modular metric  $\mu$  with  $H(\mu)=H$  is representable as

$$\mu = h_1 \mu_1 + \ldots + h_k \mu_k, \tag{2.4}$$

where  $\mu_i = d^{H,\ell_i}$  for  $\ell_i = \chi^{Q_i}$  and  $h_i = \mu(e)$  for  $e \in Q_i$  ( $h_i$  is well-defined by (2.2)). Indeed, for any  $s, t \in T$ , a shortest s-t path P in H is shortest for each of  $\mu, \mu_1, \ldots, \mu_k$ , and  $\mu_i$  coincides with

 $\ell_i$  on U, by (2.3). Therefore,

$$\mu(st) = \mu(P) = h_1 \ell_1(P) + \ldots + h_k \ell_k(P) = h_1 \mu_1(st) + \ldots + h_k \mu_k(st),$$

as required. When all  $h_i$ 's are ones, (2.4) is specified as

$$d^H = \mu_1 + \ldots + \mu_k. \tag{2.5}$$

Some properties of H preserve under contraction of orbits. Let H' = (T', U') be the graph  $H/Q_1$ . We identify the edges in  $U - Q_1$  with their images in H' and denote by  $\varphi(x)$  (resp.  $\varphi(P)$ ) the image in H' of a node x (resp. a path P) of H. By (2.3) applied to the orbit-invariant function  $\ell = \chi^{U-Q_1}$ ,

(2.6) if P is a shortest path of H, then  $\varphi(P)$  is a shortest path of H'.

Therefore, if v is a median of nodes x, y, z in H, then  $\varphi(v)$  is a median of  $\varphi(x), \varphi(y), \varphi(z)$  in H'. This implies that H' is modular.

**Statement 2.1**  $Q_2, \ldots, Q_k$  are the orbits of H'.

**Proof.** Obviously, mates  $e, e' \in U - Q_1$  of H remain mates in H', i.e., they are either opposite in a 4-circuit or parallel. This implies that each set  $Q_i$  (i > 1) is entirely included in some orbit of H'. To see the reverse inclusion, consider a 4-circuit  $C = (v_0, e_1, v_1, \ldots, e_4, v_4 = v_0)$  of H', and let  $L_j$  denote the path  $(v_j, e_{j+1}, v_{j+1}, e_{j+2}, v_{j+2})$  for  $j = 0, \ldots, 3$  (taking indices modulo 4). Each  $L_j$  is a shortest path since H' is bipartite (as being modular). Choose  $x_0 \in \varphi^{-1}(v_0)$  and  $x_2 \in \varphi^{-1}(v_2)$ , and let  $P_0$  and  $P_2$  be two  $x_0-x_2$  paths of H whose images in H' are  $L_0$  and the reverse to  $L_2$ , respectively. Let P be a shortest  $x_0-x_2$  path in H. Then  $|\varphi(P)| = |L_0| = |L_2| = 2$ . This together with (2.1) (applied to P and  $P' = P_0, P_2$ ) implies  $|P \cap Q_i| = |L_0 \cap Q_i| = |L_2 \cap Q_i|$  for  $i = 2, \ldots, k$ . Similarly,  $|L_1 \cap Q_i| = |L_3 \cap Q_i|$  for each i. These equalities are possible only if each pair of mates in C belongs to the same set  $Q_i$ . Similar arguments are applied to parallel edges of H' (if any).

Repeatedly applying this statement to orbits of H, we obtain the following.

**Corollary 2.2** For any  $I \subseteq \{1, ..., k\}$ , the graph  $H/(\bigcup_{i \in I} Q_i)$  is modular and its orbits are the sets  $Q_j$  for  $j \in \{1, ..., k\} - I$ . In particular, each orbit graph  $H_Q$  of a modular graph H = (T, U) is modular and has only one orbit, which is obtained by identifying parallel edges in H/(U-Q).

Next we explain that each orbit graph of  $H(\mu)$  is  $K_2$  when  $\mu$  is a median metric; this follows from properties of median graphs revealed by Mulder and Schrijver [14]. Since  $\mu$  and  $H(\mu)$  have the same sets of shortest paths (by (2.2) and (2.3)), a point v is a median of points x, y, z for  $\mu$  if and only if v is a median of this triplet for  $d^{H(\mu)}$ . So  $d^{H(\mu)}$  is a median metric, which means that  $H(\mu)$  is a median graph. It is shown in [14] that

(2.7) H = (T, U) is a median graph if and only if  $d^H = \mu_1 + \ldots + \mu_k$ , where each  $\mu_i$  is the cut metric corresponding to a bi-partition  $\{A_i, T - A_i\}$  of T (i.e.,  $\mu_i(st) = 1$  if  $|\{s, t\} \cap A_i| = 1$ , and 0 otherwise), and the family  $\mathcal{F} = \{A_1, \ldots, A_k, T - A_1, \ldots, T - A_k\}$  satisfies the *Helly property* (i.e., any subfamily  $\mathcal{F}' \subseteq \mathcal{F}$  has a nonempty intersection provided that each two members of  $\mathcal{F}'$  meet).

Let  $Q_i$  be the set of edges of H connecting  $A_i$  and  $T-A_i$ ; clearly  $Q_1, \ldots, Q_k$  are pairwise disjoint. These sets are precisely the orbits of H. Indeed, in view of  $d^H = \mu_1 + \ldots + \mu_k$ , a shortest path of H is  $\mu_i$ -shortest for each i. This easily implies that: (i) the subgraphs of H induced by  $A_i$  and by  $T-A_i$  are connected, and (ii)  $Q_i$  is a matching. ([14] shows the sharper property that H is median if and only if H is modular and has a cutset edge colouring.) Since  $Q_i$  is simultaneously a cut and a matching, if e, e' are mates in H and  $e \in Q_i$ , then  $e' \in Q_i$ . So each orbit Q of H is included in some  $Q_i$ . Suppose  $Q \neq Q_i$ . Then the subgraph (T, U - Q) is connected, by (i) above, whence the semimetric  $\mu' = d^{H,\ell}$  for  $\ell = \chi^Q$  is identically zero. This is impossible because  $\mu'$  coincides with  $\ell$  on U, by (2.3). Thus,  $Q_i$  is an orbit. Now (i) implies that  $H/(U-Q_i)$  is a tuple of parallel edges, and we conclude that each orbit graph of H is  $K_2$ .

As mentioned in the Introduction, our approach to solving problem (1.1) with a metric figured in Theorem 1.3 generalizes the cut uncrossing method for median metrics  $\mu$ . We now briefly describe that method, referring the reader for details to [11, Sec. 5].

It turns out that the Helly property for median graphs exhibited in (2.7) is extended to general modular graphs. More precisely, for a modular graph H = (T, U) with orbits  $Q_1, \ldots, Q_k$ , let  $H_i = (T_i, U_i)$  stand for  $H_{Q_i}$ , and define  $\pi_i = \{A_i(t) : t \in T_i\}$  to be the partition of T where each member  $A_i(t)$  is the node set of the component of  $(T, U - Q_i)$  whose contraction creates the node t of  $H_i$ . Each  $A_i(t)$  is just the corresponding maximal 0-distance set of the metric  $\mu_i = d^{H,\ell_i}$  as in (2.5). We assert that

(2.8) the family  $\pi = \pi(H)$  of subsets of T occurring in  $\pi_1, \ldots, \pi_k$  has the Helly property. Indeed, each set  $A \in \pi$  is *convex*, i.e., for any  $x, y \in A$ , each node on a shortest x-y path P of H belongs to A. To see this, assume  $A \in \pi_i$ . Then  $\mu_i(xy) = 0$ , and therefore,  $\ell_i(P) = 0$  (by (2.3)). So all nodes of P are in A, as required. Now the result follows from the simple fact that the family  $\overline{\pi}$  of convex node sets of an arbitrary modular graph has the Helly property. (This is shown by induction on n, considering a collection  $\pi' = \{A^1, \ldots, A^n\}$  of  $n \geq 3$  members of  $\overline{\pi}$  such that any n-1 of them meet. For i=1,2,3, choose an element  $x_i$  contained in all sets in  $\pi'$  except possibly  $A^i$ . Let z be a median of  $x_1, x_2, x_3$ . For each  $A^j \in \pi'$ , at least two of  $x_1, x_2, x_3$  belong to  $A^j$ , hence  $z \in A^j$  by the convexity. Thus, the members of  $\pi'$  have a common element.)

In conclusion of this section we show the hereditary property for orbit graphs of frames.

**Statement 2.3** Let H = (T, U) be a frame, and let Z be the union of some orbits of H. Then H/Z is a frame. In particular, each orbit graph of H is a frame.

**Proof.** One can try to prove directly that the graph H/Z =: H' = (T', U') is hereditary modular and orientable. We, however, can use Theorem 1.2 and standard compactness arguments to show that  $d^{H'}$  is minimizable. Then H' is a frame by Theorem 1.1.

More precisely, define the semimetric  $\mu$  on T to be  $d^{H,\ell}$  for  $\ell = \chi^{U-Z}$ . Consider  $V' \supseteq T'$  and  $c' : E_{V'} \to \mathbf{Z}_+$ . We have to show that  $\tau(V', c', d^{H'}) = \tau^*(V', c', d^{H'})$ . Let  $V = V' \cup T$  (assuming  $V' \cap T = T'$ ) and define c(e) = c'(e) for  $e \in E_{V'}$ , and c(e) = 0 for  $e \in E_V - E_{V'}$ . Clearly  $\tau(V, c, \mu) = \tau(V', c', d^{H'})$  and  $\tau^*(V, c, \mu) = \tau^*(V', c', d^{H'})$ . So it is suffices to prove  $\tau(V, c, \mu) = \tau^*(V, c, \mu)$ .

To see the latter, consider the infinite sequence  $d_1, d_2, \ldots$  of approximations for  $\mu$ , where  $d_i$  is  $d^{H,\rho_i}$  with  $\rho_i(e)=1$  for  $e \in U-Z$ , and  $\rho_i(e)=1/i$  for  $e \in Z$ . Since H is modular and  $\rho_i$  is positive and orbit-invariant,  $H=H(d_i)$  for each i by (2.3). So  $d_i$  is minimizable (by Theorem 1.2), whence  $\tau(V,c,d_i)=\tau^*(V,c,d_i)$ . When i grows,  $\tau(V,c,d_i)$  tends to  $\tau(V,c,\mu)$  (since the number of partitions of V is finite). Also  $\tau^*(V,c,d_i)$  tends to  $\tau^*(V,c,\mu)$ , because of the obvious fact that for any  $m \in \operatorname{Ext}(\mu,V)$ , there exists  $m' \in \operatorname{Ext}(d_i,V)$  such that  $|m'(e)-m(e)| \leq |V|/i$  for each  $e \in E_V$ . Thus,  $\tau(V,c,\mu)=\tau^*(V,c,\mu)$ , as required.

# 3 Reduction to the case of two orbits, and uncrossing method

In this section we describe our approach to proving Theorem 1.3. A majority of arguments below are applicable to general modular metrics, and unless explicitly said otherwise, we assume that  $\mu$  is an arbitrary modular metric on a set T.

Let H = (T, U) be the underlying graph  $H(\mu)$  of  $\mu$  with orbits  $Q_1, \ldots, Q_k$ . As before, for  $i = 1, \ldots, k$ ,  $H_i = (T_i, U_i)$  stands for  $H_{Q_i}$ ,  $\ell_i$  for  $\chi^{Q_i}$ ,  $\mu_i$  for  $d^{H,\ell_i}$ , and  $\pi_i = \{A_i(t) : t \in T_i\}$  for the corresponding partition of T defined in the previous section. We formally identify each  $t \in T_i$  with some element of  $A_i(t)$ , which allows us to speak of  $\mu_i$  as a 0-extension of  $d^{H_i}$  to T.

For the given  $\mu$ , consider an instance of the minimum 0-extension problem with  $V \supseteq T$  and  $c: E_V \to \mathbf{Z}_+$ . By (2.4), any 0-extension m of  $\mu$  to V is representable as

$$m = h_1 m_1 + \ldots + h_k m_k, \tag{3.1}$$

where each  $m_i$  is the 0-extension of  $\mu_i$  to V, defined by

(3.2)  $m_i(xy) = \mu_i(st)$  for  $x, y \in V$  and  $s, t \in T$  with m(xs) = m(yt) = 0.

Then  $c \cdot m = c \cdot (h_1 m_1) + \ldots + c \cdot (h_k m_k)$  and  $c \cdot m_i \ge \tau(V, c, \mu_i)$  for each i. Taking as m an optimal 0-extension for  $V, c, \mu$ , we conclude that

$$\tau(V, c, \mu) \ge h_1 \tau(V, c, \mu_1) + \dots + h_k \tau(V, c, \mu_k).$$
 (3.3)

In particular, this is valid for  $h_1 = \ldots = h_k = 1$  and  $\mu = d^H$ . We say that H is orbit-additive if

$$\tau(V, c, d^{H}) = \tau(V, c, \mu_{1}) + \dots + \tau(V, c, \mu_{k})$$
(3.4)

holds for any V and c. Such an H has a sharper property.

**Statement 3.1** Let H be orbit-additive. Then for any numbers  $h_1, \ldots, h_k \geq 0$ , the semimetric  $\mu = d^{H,\ell}$  with  $\ell = h_1\ell_1 + \ldots + h_k\ell_k$  satisfies

$$\tau(V, c, \mu) = h_1 \tau(V, c, \mu_1) + \ldots + h_k \tau(V, c, \mu_k). \tag{3.5}$$

Moreover, if m is an optimal 0-extension for  $V, c, d^H$  and  $m_1, \ldots, m_k$  are defined as in (3.2), then  $m' = h_1 m_1 + \ldots + h_k m_k$  is an optimal 0-extension for  $V, c, \mu$ .

**Proof.** Since  $\tau(V, c, d^H) = c \cdot m = c \cdot m_1 + \ldots + c \cdot m_k$ , (3.4) implies  $c \cdot m_i = \tau(V, c, \mu_i)$  for each i. Clearly  $m' \in \operatorname{Ext}^0(\mu, V)$ . Therefore,  $\tau(V, c, \mu) \leq c \cdot m' = h_1 \tau(V, c, \mu_1) + \ldots + h_k \tau(V, c, \mu_k)$ , yielding  $\tau(V, c, \mu) = c \cdot m'$  and (3.5), in view of (3.3).

Because of (3.5), problem (1.1) for a metric  $\mu$  whose underlying graph H is orbit-additive becomes as easy as that for the path metrics of orbit graphs of H. Indeed, to compute  $\tau(V, c, \mu)$  is reduced to finding the numbers  $\tau(V, c, \mu_i)$ . Moreover, once there is a subroutine to compute  $\tau(V', c', \mu)$  for arbitrary V', c', we can find an optimal 0-extension for the given  $\mu, V, c$  by applying this subroutine O(|T||V|) times (similarly to the case of minimizable metrics  $\mu$ , mentioned in the Introduction).

In turn,  $\tau(V, c, \mu_i)$  is equal to  $\tau(V_i, c_i, d^{H_i})$ , where  $V_i$  and  $c_i$  arise by shrinking the sets  $A_i(t)$  in the partition  $\pi_i$  of T to the nodes  $t \in T_i$ . Formally,  $V_i = (V - T) \cup T_i$ ,  $c_i(xy) = c(xy)$  for  $x, y \in V - T$ ,  $c_i(xt) = c(\{x\}, A_i(t))$  for  $x \in V - T$ ,  $t \in T_i$ , and  $c_i(st) = c(A_i(s), A_i(t))$  for  $s, t \in T_i$ , where c(A, B) denotes  $\sum (c(xy) : x \in A, y \in B)$  for  $A, B \subseteq V$ .

In light of the above discussion, Theorem 1.3 would follow from Theorem 1.1 and the property that if H is as in the hypotheses of Theorem 1.3, then

#### (3.6) H is orbit-additive.

Remark 1. The property of being orbit-additive is immediate in two cases of modular graphs H. Given V, c, let  $m_i$  be an optimal 0-extension for  $V, c, \mu_i$ , and let  $m = m_1 + \ldots + m_k$ . By (2.5),  $m \in \text{Ext}(d^H, V)$ . (i) If H is a frame, then (3.4) holds since  $\tau(V, c, d^H) = \tau^*(V, c, d^H) \le c \cdot m = \tau(V, c, \mu_1) + \ldots + \tau(V, c, \mu_k) \le \tau(V, c, d^H)$ . (ii) If H is isomorphic to the Cartesian product of  $H_1, \ldots, H_k$ , then m is already a 0-extension of  $d^H$ , yielding (3.4); cf. [13].

We further explain that (3.6) would follow from the existence of a retraction onto H of the Cartesian product K = K(H) of the orbit graphs  $H_1, \ldots, H_k$  of H (see the Introduction for needed definitions). We will use notation  $z_i$  for ith coordinate (component) of a point  $z \in V(K)$ . Since each  $H_i$  is bipartite, so is K. For  $v \in T$ , define

(3.7)  $\phi(v)$  to be  $z \in V(K)$  such that  $v \in A_i(z_i)$  for  $i = 1, \dots, k$ .

**Statement 3.2** For any  $u, v \in T$ ,  $d^H(uv) = d^K(\phi(u)\phi(v))$ .

**Proof.** Let  $\phi(u) = s$  and  $\phi(v) = t$ . We have  $d^K(st) = d^{H_1}(s_1t_1) + \ldots + d^{H_k}(s_kt_k)$ . Consider a shortest u-v path P in H, and for  $i=1,\ldots,k$ , let  $P_i$  be the image of P in  $H_i$ . Then  $|P| = |P_1| + \ldots + |P_k|$ , and each  $P_i$  is a shortest path, by (2.6). By (3.7),  $u \in A_i(s_i)$  and  $v \in A_i(t_i)$ , so  $s_i, t_i$  are the ends of  $P_i$  and  $|P_i| = d^{H_i}(s_it_i)$ . Therefore,  $|P| = d^K(st)$ .

Thus,  $\phi$  induces an isometric embedding of H into K, called the *canonical* embedding of H. We extend  $\phi$  to the edges of H and, when no confusion can arise, identify H with the subgraph  $\phi(H)$  of K. In particular,  $\phi$  is injective; in other words,

(3.8) for  $z \in V(K)$ , the subset  $A_1(z_1) \cap \ldots \cap A_k(z_k)$  of T consists of a single element (namely,  $\phi^{-1}(z)$ ) if  $z \in \phi(T)$ , and is empty otherwise.

An elementary property of a retraction of a (bipartite) graph G = (V, E) onto its subgraph G' = (V', E') is that  $\gamma$  turns every path of G into a path of G'. This implies that  $d^G(xy) - d^{G'}(\gamma(x)\gamma(y))$  is a nonnegative even integer for any  $x, y \in V$ . Therefore,  $\gamma$  is non-expansive (does not increase the distances) and preserves the distance parity.

**Statement 3.3** A modular graph H is orbit-additive if there exists a retraction of K = K(H) onto H.

**Proof.** Given V, c, for each i = 1, ..., k, take an optimal 0-extension  $m_i$  for  $V, c, \mu_i$ , and form the extension  $m = m_1 + ... + m_k$  of  $d^H$  to V. Assuming there exists a retraction  $\gamma$  of K onto H, we construct a 0-extension m' of  $d^H$  to V such that  $m' \leq m$ . This will imply (3.4) since  $\tau(V, c, \mu) \leq c \cdot m' \leq c \cdot m$  and  $c \cdot m = \tau(V, c, \mu_1) + ... + \tau(V, c, \mu_k)$ . For  $z \in V(K)$ , define

$$X_i(z_i) = \{x \in V : m_i(xv) = 0 \text{ some } v \in A_i(z_i)\}, i = 1, \dots, k;$$
  
 $X_z = X_1(z_1) \cap \dots \cap X_k(z_k).$  (3.9)

The mapping  $\omega: V \to V(K)$ , defined by  $\omega(x) = z$  for  $x \in X_z$ , isometrically embeds (V, m) in  $(V(K), d^K)$ . Indeed, for  $x \in X_z$  and  $y \in X_{z'}$ , we have

$$m(xy) = m_1(xy) + \ldots + m_k(xy) = d^{H_1}(z_1z_1') + \ldots + d^{H_k}(z_kz_k') = d^K(zz').$$

Also  $\omega(v) = v$  for each  $v \in T$  (cf. (3.7)), i.e.,  $\omega$  is identical on the node set of the graph H embedded in K by  $\phi$ . The sets  $X_z$  give a partition of V, and if it happens that for each nonempty

set  $X_z$ , the set  $A_1(z_1) \cap \ldots \cap A_k(z_k)$  is nonempty too (thus consisting of a single node, by (3.8)), then m is already a 0-extension. In general, define the semimetric m' on V by

$$m'(xy) = d^H(\gamma(\omega(x))\gamma(\omega(y)))$$
 for  $x, y \in V$ .

Then m' is a 0-extension of  $d^H$  (corresponding to the partition  $\{\omega^{-1}\gamma^{-1}(t):t\in T\}$ ). Now the fact that  $\gamma$  is non-expansive while  $\omega$  is isometric implies  $m'\leq m$ , as required.

One can see that for each orbit  $Q_i$ , the components of the graph  $(T, Q_i)$  are just the *i*-layers of H (canonically embedded in K by  $\phi$ ). Thus, condition (ii) in Theorem 1.3 says that each orbit graph  $H_i$  is isomorphic to some of the *i*-layers of H, and now summing up the above reasonings, we conclude that Theorem 1.3 is implied by Theorem 1.4.

So it remains to prove Theorem 1.4. For convenience we denote K' by H = (T, U). Note that now any graph  $H_i$  may have more than one orbit, but this is not important for us. First of all we explain that it suffices to consider the case k = 2 (in the reduction below we only use the fact that each  $H_i$  is modular rather than  $H_i$  is a frame).

Let  $1 \leq i < j \leq k$  and  $K_{ij} = H_i \times H_j$ . Define  $H_{ij} = (T_{ij}, U_{ij})$  to be the projection of H to  $K_{ij}$ , i.e.,  $T_{ij} = \{(z_i, z_j) : z \in T\}$  and  $U_{ij} = \{(z_i, z_j)(z'_i, z'_j) : zz' \in U, z_p = z'_p \text{ for } p \neq i, j\}$ . (When H is as in Theorem 1.3,  $H_{ij}$  is isomorphic to the "two-orbit graph"  $H//(U - Q_i - Q_j)$ .) Suppose a retraction  $\gamma_{ij}$  of  $K_{ij}$  onto  $H_{ij}$  exists for each pair i, j. Define the mapping  $\psi_{ij} : V(K) \to V(K)$  by  $\psi_{ij}(z) = z'$ , where  $(z'_i, z'_j) = \gamma_{ij}(z_i, z_j)$  and  $z'_p = z_p$  for  $p \neq i, j$ . Clearly  $\psi_{ij}$  is identical on T and brings every edge of K to an edge. Then the desired retraction  $\gamma$  of K onto H is devised by successively applying transformations  $\psi_{ij}$ , as follows.

At the first step, set  $W_1 := V(K)$  and choose a pair i, j such that there is a point  $z \in W_1$  with  $(z_i, z_j) \notin T_{ij}$ . Set  $\alpha_1 := \psi_{ij}$  and reduce  $W_1$  to  $W_2 := \alpha_1(W_1)$ . Note that  $\alpha$  decreases at least one distance, namely, for  $u = \alpha_1(z)$ , we have  $\alpha_1(u) = u$ , so  $d^K(zu) > d^K(\alpha_1(z)\alpha_1(u)) = 0$ . Similarly, at each step q, we choose i', j' with  $(v_{i'}, v_{j'}) \notin T_{i'j'}$  for some  $v \in W_q$ , set  $\alpha_q := \psi_{i'j'}$  and reduce  $W_q$  to  $W_{q+1} := \alpha_q(W_q)$ , and so on. Since each transformation is non-expansive and brings some pair of points of the current set W to closer points, the process is finite. It terminates when, after N steps, for any  $z \in W_{N+1}$ , each pair  $(z_i, z_j)$  is already in  $T_{ij}$ . Let  $\gamma = \alpha_N \alpha_{N-1} \dots \alpha_1$ . Then  $\gamma$  is identical on T, brings every edge to an edge and maps V(K) to  $W_{N+1}$ . To conclude that  $\gamma$  is a retraction of K onto H, we have to show that  $W_{N+1} = T$ .

**Statement 3.4** Let z be a point in V(K) such that  $(z_i, z_j) \in T_{ij}$  for all  $0 \le i < j \le k$ . Then z is in H.

**Proof.** For each p = 1, ..., k, the set  $B_p := \{t \in T : t_p = z_p\}$  is convex in H (but not necessarily in K!). Indeed, if  $u, v \in B_p$  and P is a shortest u-v path in H, then P is shortest in K (since H is isometric). Therefore,  $u_p = v_p = z_p$  implies  $w_p = z_p$  for any node w on P, whence  $w \in B_p$ .

We know that the family of convex sets of a modular graph has the Helly property. The inclusion  $(z_i, z_j) \in T_{ij}$  means that the sets  $B_i$  and  $B_j$  meet. Therefore,  $B_1, \ldots, B_k$  have a common element  $z' \in T$ . Clearly z' = z.



Figure 3: (a)  $H_{ij} \simeq K_2 \times K_2$ 

Thus, it suffices to prove Theorem 1.4 for k=2. The desired retraction will be constructed in the next section.

Remark 2. The above arguments prompt a method to solve (1.1) with  $\mu$  as in Theorem 1.3 in which each particular problem concerning  $\mu_i$  is solved only once (so the method looks more efficient than that described after the proof of Statement 3.1). More precisely, given V, c, find an optimal 0-extension  $m_i$  for each  $i=1,\ldots,k$ . This gives the family  $\mathcal{X}$  of sets  $X_i(z_i)$  as in (3.9), and we can select, in polynomial time, the set  $\mathcal{V}$  consisting of all points  $z \in K(V)$  with  $X_z \neq \emptyset$ . Starting with  $\mathcal{V}_1 = \mathcal{V}$ , at each, qth, iteration, we examine the current set  $\mathcal{V}_q$  to find  $z \in \mathcal{V}$  with  $(z_i, z_j) \notin T_{ij}$  for some i, j. If such a z exists and is chosen, we set  $\alpha_q := \psi_{ij}$ , reduce  $\mathcal{V}_q$  to  $\mathcal{V}_{q+1} := \alpha_q(\mathcal{V}_q)$  (which changes  $\mathcal{X}$ ) and continue the process. Otherwise  $\mathcal{V}_q = T$ , by Statement 3.4, and the partition  $\{Y_t : t \in T\}$  of V into the corresponding 0-distance sets induces an optimal 0-extension for  $V, c, d^H$  (and therefore, for  $V, c, \mu$ , by Statement 3.1), where  $Y_t$  is the union of sets  $X_z$  for  $z \in \mathcal{V}$  such that  $\alpha_{q-1} \ldots \alpha_1(z) = t$ . Since each transformation moves some point of the current set  $\mathcal{V}$  closer to T, the number of iterations is  $O(|T|^2|V|)$ .

**Remark 3.** The above transformation of  $\mathcal{X}$  induced by the retraction  $\gamma_{ij}$  can be thought of as an analogue of the cut uncrossing operation for median metrics (reviewed in Section 2), thus justifying the term "uncrossing" used in a more general context in this paper. Recall that each orbit graph of a median graph H is  $K_2$ , and therefore, each "two-orbit graph"  $H_{ij}$  is isomorphic either to  $K_2 \times K_2$  or to the path P = xyz of length two, as drawn in Fig. 3. When  $H_{ij} \simeq P$ , the (unique) retraction  $\gamma = \gamma_{ij}$  brings the point (x, z) of  $H_i \times H_j$  not in  $H_{ij}$  to y. This retraction is just behind the uncrossing operation on the corresponding cuts in that method.

### 4 Retraction

In this and next sections we prove Theorem 1.4 with k=2, using notation, conventions and results from Sections 2 and 3. One may assume  $K \neq H$ . We will essentially use the condition in the theorem that H includes a subgraph ("row-layer") of the form  $H_1 \times H_2$  and a subgraph ("column-layer") of the form  $H_1 \times H_2$  for some  $H_1 \times H_2$  i.e.,

(4.1) for any  $u \in T_1$  and  $v \in T_2$ ,  $(u, s_2) \in T$  and  $(s_1, v) \in T$ .

We fix such  $s_1, s_2$  and call the node  $s = (s_1, s_2)$  the *origin* of K.

In the proof below we everywhere admit that  $H_1, H_2$  are arbitrary modular graphs until (4.9) where the assumption that  $H_1, H_2$  are frames is essential. We abbreviate  $d^K, d^{H_1}, d^{H_2}$  as  $d, d_1, d_2$ , respectively. The interval  $\{v \in V(K) : d(xv) + d(vy) = d(xy)\}$  of nodes (points) x, y of K is denoted by I(x, y) = I(y, x). We denote by J(x) and r(x) the interval I(x, s) and the distance d(xs), called the principal interval and the rank of x, respectively. M(x, y, z) denotes the set of medians of points  $x, y, z \in V(K)$ . For  $i = 1, 2, I_i(x_i, y_i), J_i(x_i), r_i(x_i)$ , and  $M_i(x_i, y_i, z_i)$  stand for the corresponding objects concerning the graph  $H_i$ . Then  $I(x, y) = I_1(x_1, y_1) \times I_2(x_2, y_2), J(x) = J_1(x_1) \times J_2(x_2), r(x) = r_1(x_1) + r_2(x_2),$  and  $M(x, y, z) = M_1(x_1, y_1, z_1) \times M_2(x_2, y_2, z_2)$  (as being immediate consequences from the equality  $d(uv) = d_1(u_1v_1) + d_2(u_2v_2)$  for any  $u, v \in V(K)$ ). The latter correspondence between medians in  $K, H_1, H_2$  implies the following elementary property, which will be often used later on:

(4.2) for  $x, y, z \in V(K)$  and  $i \in \{1, 2\}$ , if  $z_i \in I_i(x_i, y_i)$ , then  $z_i = v_i$  for each median  $v \in M(x, y, z)$ ; in particular,  $x_i = z_i$  implies  $v_i = x_i$ .

The modularity of H implies that

(4.3) for each  $u \in T_1$ , the set  $Z(u) := \{v \in T_2 : (u, v) \in T\}$  is convex in  $H_2$ , and similarly for each  $v \in T_2$ , the set  $\{u \in T_1 : (u, v) \in T\}$  is convex in  $H_1$ 

(cf. the proof of Statement 3.4). Indeed, for  $v, w \in Z(u)$  and  $v' \in I_2(v, w)$ , consider the nodes x = (u, v), y = (u, w) and  $z = (s_1, v')$  of H (where z is in T by (4.1)). These nodes have a median q in H. Then  $q_1 = u$  and  $q_2 = v'$  (cf. (4.2)). Hence,  $v' \in Z(u)$ . It follows from (4.3) that

$$J(t) \subseteq T$$
 for all  $t \in T$ . (4.4)

(However, the whole set T is not convex in K unless H = K.)

The mapping (retraction)  $\gamma$  that we wish to construct will be some kind of reflection of points in V(K) - T with respect to their closest points in H. Consider a point  $x \in V(K)$ . Define the excess  $\Delta^x$  to be the distance d(x,T) from x to T, i.e.,  $\Delta^x = \min\{d(xt) : t \in T\}$ , and define N(x) to be the set of points  $t \in T$  with  $d(xt) = \Delta^x$ . In particular,  $\Delta^x \leq r_i(x_i)$  for i = 1, 2 (since  $(x_1, x_2), (s_1, x_2) \in T$ ), and  $\Delta^x = 0$  if and only if  $x \in T$ .

Statement 4.1  $N(x) \subseteq J(x)$ .

**Proof.** Let  $t \in N(x)$ . The points  $x' = (x_1, s_2)$ ,  $x'' = (s_1, x_2)$  and t are in T, so they have a median q in T as well. Then  $q_1 \in M_1(x_1, s_1, t_1)$  and  $q_2 \in M_2(s_2, x_2, t_2)$ . Therefore,  $q_1$  belongs to both  $J_1(x_1)$  and  $I_1(x_1, t_1)$ , and  $q_2$  belongs to both  $J_2(x_2)$  and  $I_2(x_2, t_2)$ , which means that  $q \in J(x)$  and  $q \in I(x, t)$ . Now  $d(xq) \geq \Delta^x = d(xt)$  implies q = t.

By this statement, the rank r(t) is equal to the same number  $r(x) - \Delta^x$  for all  $t \in N(x)$ . Note that for any  $x, y \in V(K)$ ,  $|\Delta^x - \Delta^y| = |d(x, T) - d(y, T)| \le d(xy)$ . Therefore,

$$|\Delta^x - \Delta^y| \le 1$$
 for each edge  $xy \in E(K)$ . (4.5)

We partition E(K) into the sets  $E_1 = \{xy : x_2 = y_2\}$  and  $E_2 = \{xy : x_1 = y_1\}$ , and for i = 1, 2, define

$$E_i^{=} = \{ xy \in E_i : \Delta^x = \Delta^y \} \quad \text{and} \quad E_i^{\neq} = E_i - E_i^{=}.$$
 (4.6)

The desired retraction is devised by use of certain 0-extensions of metrics  $d_1$  and  $d_2$ . First we introduce the auxiliary graphs  $G_1 = (\mathcal{V}_1, \mathcal{E}_1)$  and  $G_2 = (\mathcal{V}_2, \mathcal{E}_2)$ , as follows. For i = 1, 2, let  $\mathcal{A}_i$  be the set of pairs  $tt_i = \{t, t_i\}$  for  $t \in T$ , and  $\mathcal{B}_i$  the set of pairs  $xs_i = \{x, s_i\}$  for  $x \in V(K)$ . Then  $G_i$  is the (disjoint) union of the graphs  $H_i$  and K to which the pairs from  $\mathcal{A}_i \cup \mathcal{B}_i$  are added as edges, i.e.,

$$\mathcal{V}_i = T_i \cup V(K)$$
 and  $\mathcal{E}_i = U_i \cup E(K) \cup \mathcal{A}_i \cup \mathcal{B}_i$ .

The edges e of G are endowed with the lengths  $\delta_i(e)$  defined by

$$\delta_{i}(e) = 1 \quad \text{for} \quad e \in U_{i} \cup E_{i}^{=} \cup E_{3-i}^{\neq},$$

$$= 0 \quad \text{for} \quad e \in E_{i}^{\neq} \cup E_{3-i}^{=} \cup \mathcal{A}_{i},$$

$$= r_{i}(x_{i}) - \Delta^{x} \quad \text{for} \quad e = xs_{i} \in \mathcal{B}_{i}.$$

$$(4.7)$$

We say that a semimetric m on a set V is cyclically even if m(xy) + m(yz) + m(zx) is an even integer for all  $x, y, z \in V$  (equivalently: the m-length of any cycle on V is even). All values of such an m are integers since  $m(xy) + m(yx) + m(xx) = 2m(xy) \in 2\mathbf{Z}$ .

**Lemma 4.2** For i = 1, 2, define  $m_i = d^{G_i, \delta_i}$ . Then: (i)  $m_i$  is an extension of  $d_i$  to  $\mathcal{V}_i$ , and (ii)  $m_i$  is cyclically even and coincides with  $\delta_i$  on  $\mathcal{E}_i$ .

This lemma (the keystone in our arguments) will be proved later, and now we explain how it help us to construct the desired mapping  $\gamma$ . We apply some results from [13] and [11].

More precisely, for a metric  $\mu'$  on a set T', an extension m' of  $\mu'$  to  $V \subseteq T'$  is called *tight* if there exists no  $m'' \in \mathcal{E}(\mu', V) - \{m'\}$  such that  $m'' \leq m'$ ; equivalently: m' has no *loose* pair x, y, i.e, for any  $x, y \in V$ , the path (u, x, y, v) on V is m'-shortest for at least one pair  $u, v \in T'$ . It is shown in [13, Sec.5] that for any cyclically even metric  $\mu'$ ,

(4.8) if  $m \in \text{Ext}(\mu', V)$  is cyclically even, then there exists  $m' \in \text{Ext}(\mu', V)$  such that m' is cyclically even and tight,  $m'(e) \leq m(e)$  for all  $e \in E_V$ , and m'(e) = m(e) whenever  $m(e) \leq 1$ .

(Such an m' is constructed by the following process. If there is no loose pair  $x, y \in V$  with  $m(xy) \geq 2$ , then one easily shows that there is no loose pair at all, i.e., m is already tight. Otherwise choose such a pair x, y, and let  $m' := d^{K_V, \ell}$ , where  $\ell(xy) := m(xy) - 2$  and  $\ell(e) := m(e)$  for  $e \in E_V - \{xy\}$ . Then m' is a cyclically even extension of  $\mu'$ . Update m := m' and iterate.)

Next, the proof of the "if" part of Theorem 1.1 in [11] relies of an explicit construction of the so-called tight span of a frame, which in turn is based on the following result (Claim 5 in Section 4 there):

(4.9) if H' = (T', U') is a frame and m is a tight extension of  $d^{H'}$  to  $V \supseteq T'$ , then each point  $x \in V$  satisfies at least one of the following:

- (i) m(xt) = 0 for some node  $t \in T'$ ;
- (ii) m(ux) + m(xv) = 1 for some edge  $uv \in U'$ ;
- (iii)  $m(v_0x) + m(xv_2) = m(v_1x) + m(xv_3) = 2$  for some 4-circuit  $C = v_0v_1v_2v_3v_0$  of H'.

Using (4.8) and (4.9), we argue as follows. For i = 1, 2, let  $m_i$  be as in Lemma 4.2, and let  $m'_i \leq m_i$  be a cyclically even tight extension of  $d_i$  as in (4.8). Then

$$m_i'(e) = \delta_i(e) \text{ for } e \in \mathcal{E}_i - \mathcal{B}_i, \text{ and } m_i'(e) \le \delta_i(e) \text{ for } e \in \mathcal{B}_i.$$
 (4.10)

Moreover, in view of (4.9), for each  $x \in \mathcal{V}_i$ , there exists  $t \in T_i$  with  $m'_i(tx) = 0$ . This is immediate in cases (i) and (ii) of (4.9). And if we are in case (iii) (with  $m = m'_i$ ) and if  $C = v_0 v_1 v_2 v_3 v_0$  is the corresponding 4-circuit for x, then  $\alpha_j := m'_i(v_j x) > 0$  for j = 0, 1, 2, 3 would imply  $\alpha_j = 1$  for each j. Then  $m'_i(v_0 v_1) + \alpha_0 + \alpha_1 = 1 + 1 + 1 = 3$ , contrary to the fact that  $m'_i$  is cyclically even. Thus,  $m'_i$  is a 0-extension of  $d_i$  to  $\mathcal{V}_i$ .

Now for  $x \in V(K)$ , define  $\gamma(x)$  to be the point  $(\gamma_1(x), \gamma_2(x))$ , where  $\gamma_i(x)$  is the node  $v \in T_i$  with  $m_i'(xv) = 0$ .

**Statement 4.3**  $\gamma$  is the retraction of K onto H.

**Proof.** For each  $t \in T$ ,  $m'_i(tt_i) = 0$  (since  $\delta_i$  is zero on  $\mathcal{A}_i$ , by (4.7)), so  $\gamma$  is identical on T.

To see  $\gamma(V(K)) \subseteq T$ , consider  $x \in V(K)$ , and let  $x' = \gamma(x)$  and  $t \in N(x)$ . Let  $P = z^0 z^1 \dots z^k$   $(k = \Delta^x)$  be a shortest t-x path in K. Then for  $j = 0, \dots, k-1$ , one has  $t \in N(z^j)$  and  $\Delta_j := \Delta^{z^j} = j$ , whence  $\Delta_{j+1} - \Delta_j = 1$  and  $z^j z^{j+1} \in E_1^{\neq} \cup E_2^{\neq}$ , cf. (4.6). This implies  $\delta_1(P) = d_2(t_2 x_2)$  and  $\delta_2 = d_1(t_1 x_1)$ , by the definition of  $\delta_i$  on E(K). Therefore,

$$d_1(x_1't_1) = m_1'(xt) \le \delta_1(P) = d_2(t_2x_2) = \Delta^x - d_1(t_1x_1). \tag{4.11}$$

Since  $\delta_1(s_1x) = r_1(x_1) - \Delta^x$  (by (4.7)) and  $r_1(x_1) = r_1(t_1) + d_1(t_1x_1)$  (by Statement 4.1),

$$d_1(s_1x_1') = m_1'(s_1x) \le \delta_1(s_1x) = r_1(x_1) - \Delta^x = r_1(t_1) + d_1(t_1x_1) - \Delta^x.$$
(4.12)

Comparing (4.11) and (4.12), we obtain  $d_1(s_1x_1') + d_1(x_1't_1) \leq r_1(t_1)$ , whence  $x_1' \in J_1(t_1)$ . Similarly,  $x_2' \in J_2(t_2)$ . So  $x' \in J(t)$ , yielding  $x' \in T$ , by (4.4).

Finally, consider an edge  $e = xy \in E(K)$ , and let  $x' = \gamma(x)$  and  $y' = \gamma(y)$ . We have  $\delta_1(e) + \delta_2(e) = 1$ , by (4.7). Also  $m_i'(e) = \delta_i(e)$ , i = 1, 2, by (4.10). Hence,

$$d(x'y') = d_1(x_1'y_1') + d_2(x_2'y_2') = m_1'(e) + m_2'(e) = \delta_1(e) + \delta_2(e) = 1,$$

i.e., x'y' is an edge of K, as required.

It remains to prove Lemma 4.2.

# 5 Proof of Lemma 4.2

We may prove this lemma for i = 1. First we explain that  $\delta_1$  is cyclically even, i.e., the  $\delta_1$ -length of any cycle in  $G_1$  is even.

For any 4-circuit  $C = x^0x^1x^2x^3x^0$  in K, an edge of C belongs to  $E_1$  if and only if the opposite edge does. Also, letting  $\eta_j := \Delta^{x^{j+1}} - \Delta^{x^j}$ , the numbers  $\eta_0, \eta_2$  have the same parity if and only if  $\eta_1, \eta_3$  do so. From these properties and the definition of  $\delta_i$  one can deduce that the  $\delta_1$ -length of C is even. Then  $\delta_1$  is cyclically even within K, because K is modular and, therefore, the 4-circuits form a basis in the space of cycles of K over  $\mathbb{Z}_2$ . (Indeed, any cycle of length  $q \geq 6$  in a modular graph can be represented as the modulo two sum of three cycles with length less than q each.) Next, using the fact that  $\delta_1$  takes value one on  $U_1 \cup (E_1 \cap U)$  and zero on  $(E_2 \cap U) \cup \mathcal{A}_1$ , one can see that the  $\delta_1$ -length of any cycle with all edges in  $U_1 \cup U \cup \mathcal{A}_1$  is even. Finally, for an edge  $e = xs_1 \in \mathcal{B}_1$ , choose  $t \in N(x)$  and a shortest t-x path L in K. Then  $\delta_1(L) = d_2(t_2x_2)$ . Concatenating L with the edge e, the edge  $t_1t$  in  $\mathcal{A}_1$  and a shortest  $s_1$ - $t_1$  path R in  $H_1$ , we obtain a cycle whose  $\delta_1$ -length is equal to

$$\delta_1(L) + \delta_1(e) + \delta_1(R) + \delta_1(t_1t) = d_2(t_2x_2) + (r_1(x_1) - \Delta^x) + r_1(t_1) + 0 = 2r_1(t_1).$$

Summing up the above observations, one can conclude that  $\delta_1$  is cyclically even within the entire set  $\mathcal{E}_1$ . Then  $m_1$  is cyclically even as well.

Next we prove that  $m_1$  is an extension of  $d_1$ . The main part of this proof is to show the following property:

(5.1) for any path  $P = x^0 x^1 \dots x^k$  in K with  $x^0 \in T$ , there exists a path  $L = z^0 z^1 \dots z^{\alpha}$  with  $z^0 = x^0$  and  $z^{\alpha} = x^k$  and a number  $0 \le \beta \le \alpha$  such that  $z^0, \dots, z^{\beta} \in T$ , that  $r(z^{\beta}) < r(z^{\beta+1}) < \dots < r(z^{\alpha})$ , and that  $\delta_1(L) \le \delta_1(P)$ .

The proof of (5.1) includes Claims 1–3 below. Recall that any edge  $xy \in E(K)$  satisfies |r(x) - r(y)| = 1 (since K is bipartite), and if  $x \in T$  and r(x) > r(y), then  $y \in T$  (by (4.4)). In particular, L as in (5.1) entirely lies in H if  $x^k \in T$ . To show (5.1), it suffices to consider the case when P is simple,  $k \geq 2$ , and all intermediate nodes of P are not in T (for if  $x^i \in T$  for some 0 < i < k, we can split P into two paths  $P' = x^0 \dots x^i$  and  $P'' = x^i \dots x^k$  and prove (5.1) for each of P', P'' independently). For  $i = 0, \dots, k$ , let  $r(i) := r(x^i)$ . An intermediate node  $x^i$  of P is called a peak if r(i) > r(i-1) = r(i+1). The set of peaks is denoted by F = F(P). We prove (5.1) by induction on

$$\omega(P) = \sum (4^{r(i)} : x^i \in F(P)).$$

If  $F = \emptyset$ , then  $r(0) < r(1) < \ldots < r(k)$  (as r(0) > r(1) would imply  $x^1 \in T$ ), i.e., P is just the desired path L. So assume  $F \neq \emptyset$ . Let  $x^p$  be the first peak in P, and let x, y, z stand for  $x^{p-1}, x^p, x^{p+1}$ , respectively. Choose a median y' for x, z, s in K. Since r(x) = r(z) and d(xz) = 2, both xy', y'z are edges of K and r(y') < r(x) < r(y). Replace y by y' in P, forming the path  $P' = x^0 \ldots x^{p-1} y' x^{p+1} \ldots x^k$ ; we say that P' is obtained by cutting off the peak y. Since  $4^{r(p)} > 2 \cdot 4^{r(p)-1} = 4^{r(p-1)} + 4^{r(p+1)}$ , we have  $\omega(P') < \omega(P)$ . Also  $\delta_1(P) - \delta_1(P')$  is equal to

$$\rho := \rho(x, y, z, y') := \delta_1(xy) + \delta_1(yz) - \delta_1(xy') - \delta_1(y'z).$$

Therefore, if  $\rho \geq 0$  occurs, we can immediately apply induction. Let  $\overline{\Delta} := \Delta^y$ .

Claim 1 A median y' for x, z, s can be chosen so that  $\rho(x, y, z, y') < 0$  is possible only if both edges e = xy, e' = yz are in  $E_2$ ,  $\Delta^x = \Delta^z = \overline{\Delta}$ , and  $\Delta^{y'} = \overline{\Delta} - 1$ .

**Proof.** Since the  $\delta_1$ -length of the 4-circuit C = xyzy'x is even,  $\rho < 0$  implies

$$\delta_1(e) = \delta_1(e') = 0$$
 and  $\delta_1(xy') = \delta_1(y'z) = 1.$  (5.2)

This is impossible when  $e \in E_1$  and  $e' \in E_2$  (or  $e \in E_2$  and  $e' \in E_1$ ). Indeed, in this case we would have  $\Delta^x = \overline{\Delta} - 1$  and  $\Delta^z = \overline{\Delta}$ , by (4.7). Then  $xy' \in E_2$  and  $\delta_1(xy') = 1$  imply  $\Delta^{y'} = \Delta^x - 1 = \overline{\Delta} - 2$ , while  $y'z \in E_1$  and  $\delta_1(y'z) = 1$  imply  $\Delta^{y'} = \Delta^z = \overline{\Delta}$ ; a contradiction.

If  $e, e' \in E_2$ , then  $xy', y'z \in E_2$ . So (5.2) yields  $\Delta^x = \overline{\Delta}^z = \overline{\Delta}$  and  $\Delta^{y'} = \Delta^x - 1 = \overline{\Delta} - 1$ , as required.

Now, suppose  $e, e' \in E_1$  and  $\delta_1(e) = \delta_1(e') = 0$ . Choose  $u \in N(x)$  and  $v \in N(z)$ . We have  $\Delta^x = \Delta^z = \overline{\Delta} - 1$ , whence  $u, v \in N(y)$ . Choose in T a median q for  $u, v, (y_1, s_2)$  and a median w for  $u, v, (s_1, y_2)$ . We assert that  $q, w \in N(y)$ . Indeed,

$$q_1 \in M_1(u_1, v_1, y_1), \quad w_1 \in M_1(u_1, v_1, s_1), \quad q_2 \in M_1(u_2, v_2, s_2), \quad w_2 \in M_1(u_2, v_2, y_2).$$

In particular,  $q_1, w_1 \in I_1(u_1, v_1)$ . Also  $u_1, v_1 \in I_1(q_1, w_1)$  (in view of  $u_1, v_1 \in I_1(y_1, s_1)$ , by Statement 4.1). These relations imply  $d_1(u_1q_1) = d_1(v_1w_1) := a$ . Similarly,  $d_2(u_2q_2) = d_2(v_2w_2) := a'$ . Then  $d(yu) = \overline{\Delta} \leq d(yq) = d(yu) - a + a'$  and  $d(yv) \leq d(yw) = d(yv) + a - a'$ . This is possible only if a = a', yielding  $d(yq) = d(yw) = \overline{\Delta}$ , as required.

Assume y' is chosen to be a median for x, z, w. Then y' is a median for x, z, s as well, taking into account that  $x_2 = z_2$  and the paths  $(x_1, u_1, w_1, s_1)$  and  $(z_1, v_1, w_1, s_1)$  on  $T_1$  are  $d_1$ -shortest. Now d(y'w) = d(xw) - 1 implies  $\Delta^{y'} < \Delta^x$ . Hence,  $\delta_1(xy') = \delta_1(y'z) = 0$  and  $\rho = 0$ .

Arguing as in the above proof, one can see that for any  $x' \in V(K)$ , there are elements  $t, t' \in N(x')$  such that  $r_1(t_1) \leq r_1(t'_1)$  (and  $r_2(t_2) \geq r_2(t')$ ) and  $N(x') \subseteq I(t, t')$ . We denote t by t(x') and refer to it as the *minimal* element of N(x') (with respect to the rank in  $H_1$ ).

Remark 4. For i=1,2 and  $f,g\in N(x')$ , denote  $f_i \prec_i g_i$  if  $f_i\in J_i(g_i)$ . Then  $\prec_i$  is the partial order on  $N_i=\{w_i:w\in N(x')\}$  with unique minimal and maximal elements. Moreover, the correspondence  $w_1\to w_2$  establishes the isomorphism between  $(N_1,\prec_1)$  and  $(N_2,\prec_2^{-1})$  (where  $\prec^{-1}$  is the reverse to  $\prec$ ). One can show that if none of  $H_1,H_2$  containes  $K_{3,3}^-$  as an induced subgraph (see Fig. 1b), then  $(N_i,\prec_i)$  is a modular lattice, i.e., (i) any  $u,v\in N_i$  have unique lower and upper bounds, denoted by  $u\wedge v$  and  $u\vee v$ , respectively; (ii) for each  $u\in N_i$ , all maximal chains to u from the minimal element have the same length  $\rho(u)$ , and (iii) each pair u,v satisfies the modular equality  $\rho(u)+\rho(v)=\rho(u\wedge v)+\rho(u\vee v)$ . We, however, do not need these properties in further arguments.

In light of Claim 1, we may assume that  $\rho < 0$  and  $e, e' \in E_2$ . Consider the minimal element  $t(y) = (t_1(y), t_2(y))$  in N(y). Suppose  $t_1(y) \neq y_1$ . Then there is a node w of K adjacent to y such that  $w_1 \in I_1(y_1, t_1(y))$  and  $w_2 = y_2$ . We have  $yw \in E_1$ , r(w) = r(y) - 1

and  $t(y) \in N(w)$ . Then  $\Delta^w < \overline{\Delta}$  and  $\delta_1(yw) = 0$ . Transform P into the (non-simple) path  $P' = x^0 \dots x^{p-2} xywyzx^{p+2} \dots x^k$  and then cut off both copies of y (which are peaks of P'). This results in a path P'' of the form  $x^0 \dots x^{p-2} xy'wy''zx^{p+2} \dots x^k$ ; clearly x, w, z are peaks of P''. Since  $yw \in E_1$ , y' and y'' can be chosen so that  $\rho(x, y, w, y') \geq 0$  and  $\rho(w, y, z, y'') \geq 0$ , by Claim 1. Therefore,  $\delta_1(P'') \leq \delta_1(P') = \delta_1(P)$ . Also  $4^{r(y)} > 3 \cdot 4^{r(y)-1} = 4^{r(x)} + 4^{r(w)} + 4^{r(z)}$ , yielding  $\omega(P'') < \omega(P)$ . So we can apply induction.

It remains to consider the case when  $t_1(y) = y_1$ . Then t(y) is the unique element of N(y). We will use the following property.

Claim 2. Let  $\overline{xy} \in E_2$  satisfy  $r(\overline{x}) < r(\overline{y})$ , let  $N(\overline{y})$  consist of a single element u, and let  $u_1 = \overline{y}_1$ . Then  $N(\overline{x})$  consists of a single element v, and  $v_1 = \overline{y}_1$ . Moreover, u = v if  $\Delta^{\overline{x}} < \Delta^{\overline{y}}$ , and u and v are adjacent if  $\Delta^{\overline{x}} = \Delta^{\overline{y}}$ .

**Proof.** If  $\Delta^{\overline{x}} < \Delta^{\overline{y}}$ , then  $N(\overline{x}) \subseteq N(\overline{y})$ , whence  $N(\overline{x}) = \{u\}$ . So assume  $\Delta^{\overline{x}} = \Delta^{\overline{y}}$ , and let  $v \in N(\overline{x})$ . Choose  $q \in M(u, v, (\overline{y}_1, s_2)) \cap T$  and  $w \in M(u, v, (s_1, \overline{y}_2)) \cap T$ . We have  $q_2, w_2 \in I_2(u_2, v_2)$  and  $u_2 \in I_2(q_2, w_2)$  (in view of  $u_2 \in I_2(\overline{y}_2, s_2)$ ). Note that the path  $(\overline{y}_2, \overline{x}_2, v_2, s_2)$  on  $T_2$  is  $d_2$ -shortest (since  $r(\overline{x}) < r(\overline{y})$  and  $\overline{x}_1 = \overline{y}_1$  imply  $\overline{x}_2 \in I_2(\overline{y}_2, s_2)$ ). This yields  $v_2 \in I_2(q_2, w_2)$ , and we can conclude that  $d_2(u_2w_2) = d_2(v_2q_2) =: a'$ .

Next,  $q_1 \in M_1(u_1, v_1, \overline{y}_1)$  and  $u_1 = \overline{y}_1$  imply  $q_1 = \overline{y}_1$ , while  $w_1 \in M_1(u_1, v_1, s_1)$ ,  $v_1 \in I_1(\overline{x}_1, s_1)$  and  $\overline{x}_1 = \overline{y}_1 = \overline{u}_1$  imply  $w_1 = v_1$ . Let  $a := d_1(\overline{y}_1v_1)$ . Then  $d(\overline{x}v) \leq d(\overline{x}q) = d(\overline{x}v) - a + a'$  and  $d(\overline{y}u) \leq d(\overline{y}w) = d(\overline{y}u) + a - a'$ , whence a = a',  $q \in N(\overline{x})$  and  $w \in N(\overline{y})$ . Since  $|N(\overline{y})| = 1$ , we have w = u. This implies a = 0 and q = v, yielding  $v_1 = q_1 = \overline{y}_1$ . So  $v_1 = \overline{y}_1$ , regardless of the choice of v in  $N(\overline{x})$ . This is possible only if  $N(\overline{x})$  consists of a single element (for if  $v, v' \in N(\overline{x})$  and  $v \neq v'$ , then a median f for  $v, v', (s_1, \overline{x}_2)$  in T satisfies  $f_1 = \overline{y}_1$  and  $d_2(\overline{x}_2 f_2) < d_2(\overline{x}_2 v_2)$ , whence  $d(\overline{x}f) < \Delta^{\overline{x}}$ ).

Finally, to see that  $u_2$  and  $v_2$  are adjacent, take in T a median h for  $u, v, (s_1, \overline{x}_2)$ . Then  $d(\overline{x}h) \geq d(\overline{x}v)$ ,  $h_2 \in I_2(\overline{x}_2, v_2)$  and  $h_1 = \overline{y}_1$ , implying h = v. So  $v_2 \in I_2(\overline{x}_2, u_2)$ . Also  $d_2(\overline{y}_2u_2) = \Delta^{\overline{y}} = \Delta^{\overline{x}} = d_2(\overline{x}_2v_2)$  and  $u_2 \in I_2(\overline{y}_2, v_2)$  (since  $u_2 \in I_2(\overline{y}_2, s_2)$  and  $v_2 = q_2 \in I_2(u_2, s_2)$ ). Now  $d_2(\overline{x}_2\overline{y}_2) = 1$  implies  $d_2(u_2v_2) = 1$ , as required.

For  $i=0,\ldots,p$ , define  $P_i$  to be the subpath  $x^i \ldots x^p$  of P. Let  $P_j$  be the maximal subpath with all edges in  $E_2$  (i.e., j is minimum subject to  $x_1^j = \ldots = x_1^p$ ). Since  $r(j) < r(j+1) < \ldots < r(p)$ , we can repeatedly apply Claim 1 to the edges of  $P_j$ , starting with  $x^{p-1}x^p$ , and conclude that  $N(x^i)$  is a singleton  $\{u^i\}$  with  $u_1^i = y_1$  for each  $i = j, \ldots, p$ . Also  $u^i = u^{i+1}$  if  $\Delta_i < \Delta_{i+1}$ , and  $u^iu^{i+1} \in E_2$  if  $\Delta_i = \Delta_{i+1}$ , where  $\Delta_q$  stands for  $\Delta^{x^q}$ . Consider two possible cases.

Case 1:  $j \ge 1$ . By the maximality of  $P_j$ ,  $x^{j-1}x^j \in E_1$ . Let  $b := x_1^{j-1}$ . For  $i = j, \ldots, p$ , define  $z^i$  and  $v^i$  to be the points with  $z_1^i = v_1^i = b$ ,  $z_2^i = x_2^i$  and  $v_2^i = u_2^i$ , i.e.,  $z^i$  and  $v^i$  are obtained by shifting the points  $x^i$  and  $u^i$ , respectively, along the edge  $y_1b$  of  $H_1$ . In particular,  $z^j = x^{j-1}$ . Denote  $\Delta^{z^i}$  by  $\Delta'_i$ .

Claim 3.  $\Delta'_i = \Delta_i$  and  $v^i \in N(z^i)$  for each  $i = j, \dots, p$ .

**Proof.** Since r(j-1) < r(j) and  $x_2^{j-1} = x_2^j$ ,  $r_1(b) < r_1(x^j)$ . Therefore,  $u^i \in T$  implies  $v^i \in T$ , and we have  $\Delta'_i \leq d_2(z^iv^i) = d_2(x^iu^i) = \Delta_i$ . Suppose  $\Delta'_i < \Delta_i$ . Then  $N(z^i) \subseteq N(x^i)$ , whence  $N(z^i) = \{u^i\}$ . But  $d(z^iu^i) = d_1(by_1) + d_2(x_2^iu_2^i) = 1 + d(z^iv^i)$ ; a contradiction. Thus,  $\Delta'_i = \Delta_i$  and  $v^i \in N(z^i)$ .

Consider the  $x^{j-1}$ -y paths  $P_{j-1}$  and  $R = z^j \dots z^p x^p$  in K. From Claim 3 it follows that  $\delta_1(z^i z^{i+1}) = \delta_1(x^i x^{i+1})$  for  $i = j, \dots, p-1$ , and that  $\delta_1(x^{j-1} x^j) = \delta_1(z^p x^p)$ . Therefore,  $\delta_1(P_{j-1}) = \delta_1(R)$ . Replace in P the part  $P_{j-1}$  by R, forming the path  $P' = x^0 \dots x^{j-1} z^j \dots z^p x^p \dots x^k$ . Clearly  $y = x^p$  is the first peak of P'. Cut off y in P' by replacing y by a median y'' for  $z^p, z, s$ ; let P'' be the resulting path. Since  $z^p y \in E_1$  and  $yz \in E_2$ , one has  $\rho(z^p, y, z, y'') \geq 0$ , by Claim 1. Therefore,  $\delta_1(P'') \leq \delta_1(P') = \delta_1(P)$ , and (5.1) follows by induction because  $z^p$  and z are the first and second peaks of P'' and  $4^{r(y)} > 4^{r(z^p)} + 4^{r(z)}$ .

Case 2: j=0. Then  $x^0=u^0$ . By Claim 2 applied to the edge zy, N(z) is a singleton  $\{\hat{u}\}$  with  $\hat{u}_1=y_1$ . As before, let  $y'\in M(x,z,s)\cap T$ ; then  $y'_1=y_1$  and N(y') is a singleton  $\{v\}$  (by Claim 2 applied to the edge y'x). Assuming  $\Delta^{y'}<\Delta^x$  (equivalently:  $\rho<0$ ), we have  $N(y')\subseteq N(x)\cap N(z)$ . Hence,  $v=\hat{u}=u^{p-1}$ .

Form the  $u^0-v$  path R' by deleting repeated consecutive elements in  $u^0 ldots u^{p-1}$ , and let  $\overline{R}$  be the concatenation of R', a shortest v-y' path R'', and the edge y'x. Clearly the  $\delta_1$ -length of each edge of R' is zero, while the  $\delta_1$ -length of each edge of R'' is one. Also  $\delta_1(y'x) = 1$ .

Comparing  $\overline{R}$  with the path  $\overline{P} = x^0 \dots x^{p-1}$  and using Claim 2, one can deduce that  $\overline{R} = p-1$  (i.e.,  $\overline{R}$  is a shortest path in K) and that  $\delta_1(\overline{R}) = \delta_1(\overline{P})$ . Now let D be the concatenation of R', R'' and the edge y'z. Since  $\delta_1(y'x) = \delta_1(y'z)$  and  $\delta_1(xy) = \delta_1(yz) = 0$ , we have  $\delta_1(D) = \delta_1(\overline{R}) = \delta_1(P_0)$ . Also |D| = |R| = p-1 implies that D has no peaks. Then, replacing in P the part  $x^0 \dots x^{p+1}$  by D, we obtain the path P' with  $\delta_1(P') = \delta(P)$  and  $\omega(P') < \omega(P)$  and can apply induction.

Thus, (5.1) is proven. In order to conclude that  $m_1$  is an extension of  $d_1$ , it suffices to consider a path L as in (5.1) and show the following:

(5.3) (i) if 
$$z^{\alpha} \in T$$
, then  $\delta_1(L) \ge d_1(z_1^0 z_1^{\alpha});$ 

(ii) 
$$\delta_1(L) + \delta_1(z^{\alpha}s_1) \ge r_1(z_1^0)$$
.

(In fact, (i) embraces the case of a path in  $G_1$ , with both ends in  $T_1$ , whose first and last edges belong to  $\mathcal{A}_1$ , while (ii) does the case when one of these edges is in  $\mathcal{A}_1$  and the other in  $\mathcal{B}_1$ .) Case (i) is trivial because  $z^{\alpha} \in T$  means that L is a path in H, and therefore, the  $\delta_1$ -length of each of its edges in  $E_1$  is equal to one. So let us prove (ii). One may assume that  $r(z^0) < \ldots < r(z^{\alpha})$  (taking into account that  $d_1(z_1^0s_1) \leq d_1(z_1^0z_1^{\beta}) + d_1(z_1^{\beta}z_1^{\alpha})$  and  $\delta_1(L) = \delta_1(L') + \delta_1(L'')$ , where  $L' = z^0 \ldots z^{\beta}$  and  $L'' = z^{\beta} \ldots z^{\alpha}$ , and assuming w.l.o.g. that L' is  $\delta_1$ -shortest).

For  $i = 0, ..., \alpha$ , let  $\ell_i$  denote the  $\delta_1$ -length of the path  $z^0 ... z^i$ , and let  $\rho_i$  and  $\Delta_i$  stand for  $r_1(z_1^i)$  and  $\Delta^{z^i}$ , respectively. By the definition of  $\delta_1$  on  $\mathcal{B}_1$ ,  $\delta_1(z^i s_1)$  is equal to  $\rho_i - \Delta_i$ . We show that

$$\ell_i + \rho - \Delta_i \ge \rho_0, \tag{5.4}$$

using induction on i. This gives the desired inequality (5.3)(ii) when  $i = \alpha$ . Since  $\ell_0 = \Delta_0 = 0$ , (5.4) holds for i = 0. Assume it holds for i - 1 ( $0 < i < \alpha$ ), and let  $a := \ell_i - \ell_{i-1}$ ,  $b := \rho_i - \rho_{i-1}$  and  $c := \Delta_i - \Delta_{i-1}$ . Then (5.4) for i follows from  $a + b - c \ge 0$ . To see the latter, consider four possible cases for  $e = z^{i-1}z^i$ , taking into account that  $\Delta_i \ge \Delta_{i-1}$  since  $r(z^i) > r(z^{i-1})$ .

- (a) Let  $e \in E_1$  and  $\Delta_i = \Delta_{i-1}$ . Then a + b c = 1 + 1 + 0 = 2.
- (b) Let  $e \in E_1$  and  $\Delta_i > \Delta_{i-1}$ . Then a + b c = 0 + 1 1 = 0.
- (c) Let  $e \in E_2$  and  $\Delta_i = \Delta_{i-1}$ . Then a + b c = 0 + 0 0 = 0.
- (d) Let  $e \in E_2$  and  $\Delta_i > \Delta_{i-1}$ . Then a + b c = 1 + 0 1 = 0.

Thus,  $m_1$  is an extension of  $d_1$ . It remains to show that  $m_i(e) = \delta_i(e)$  for i = 1, 2 and  $e \in \mathcal{E}_i$ . This is obvious when  $e \in U \cup U_i$  or when  $\delta_i(e) = 0$ . If  $e = xs_i \in \mathcal{B}_i$ , then  $m_i(e) = \delta_i(e)$  follows from the fact that for  $t \in N(x)$ , the path in  $G_i$  obtained by concatenationg the edge  $t_i t$ , a shortest t-x path in K, and the edge  $xs_i$  is  $\delta_i$ -shortest (this fact was shown at the beginning of this section). Finally, each edge  $e \in E(K)$  belongs to a shortest t-t' path P in K with  $t, t' \in T$ . Since  $\delta_1(e') + \delta_2(e') = 1$  for all edges e' of K, we have  $\delta_1(P) + \delta_2(P) = |P| = d(tt') = d_1(t_1t'_1) + d_2(t_2t'_2)$ , whence  $\delta_i(P) = d_i(t_it'_i)$ , implying  $m_i(e) = \delta_i(e)$ .

This completes the proof of Lemma 4.2 and completes the proof of Theorems 1.4 and 1.3.

### 6 Intractable Cases

In this section we prove Theorem 1.6, considering a metric  $\mu$  on a set T such that either  $\mu$  is non-modular or  $\mu$  is modular but its underlying graph H = (T, U) is non-orientable. W.l.o.g., one may assume  $\mu$  is integer-valued. Our method borrows the idea from [11] for the path metrics  $\mu = d^H$  as in Theorem 1.5, which in turn generalizes the construction from [7] for  $H = K_3$ .

Given a set  $V \supset T$ , a function  $E_V \to \mathbf{Z}_+$ , nodes  $s, t \in T$ , and points  $x, y \in V - T$ , let  $\tau(s, x | t, y)$  denote the minimum  $c \cdot m$  among all  $m \in \operatorname{Ext}^0(\mu, V)$  such that m(xs) = m(yt) = 0.

The core of the proof in [7] that the 3-terminal cut problem is NP-hard is the construction of a "gadget" (V,c) with specified s,t,x,y satisfying the following property:

- (6.1) (i)  $\tau(s, x|t, y) = \tau(s, y|t, x) = \hat{\tau},$ 
  - (ii)  $\tau(s, x|s, y) = \tau(t, x|t, y) = \hat{\tau} + \delta$  for some  $\delta > 0$ ,
  - (iii)  $\tau(s', x|t', y) \ge \hat{\tau} + \delta$  for all other pairs  $\{s', t'\}$  in T,

where  $\hat{\tau}$  stands for  $\tau(V, c, \mu)$  (with  $\mu = d^{K_3}$ ). Then the NP-hardness of the problem is easily shown by a reduction from MAX CUT.

Our aim is to construct corresponding "gadgets" satisfying (6.1) for  $\mu$  as in Theorem 1.6; then the theorem will follow by a similar reduction.

First we consider the case when  $\mu$  is modular but H is non-orientable, which is technically simpler. In fact, the construction and arguments in this case are similar to those for the corresponding unweighted case ( $\mu = d^H$ ) given in [11, Sec. 6]. More precisely, since H is non-orientable, there exists a projective sequence ( $e_0, e_1, \ldots, e_{k-1}, e_k = e_0$ ) of edges of H yielding the "twist" (or forming the orientation-reversing dual cycle). That is,

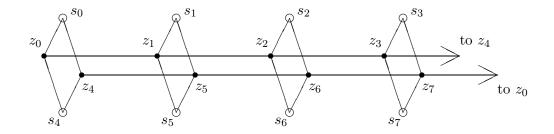


Figure 4:

gadget for a non-orientable H

(6.2) for i = 0, ..., k - 1,  $e_i = s_i t_i$  and  $e_{i+1} = s_{i+1} t_{i+1}$  are opposite edges in the 4-circuit  $C_i = s_i t_i t_{i+1} s_{i+1} s_i$ , and  $t_k = s_0$  (and  $s_k = t_0$ ).

(One can choose such a sequence with all edges (though not necessarily the nodes) distinct, but this is not important for us.) Since  $\mu$  is modular, we have by (2.2) that

(6.3) for 
$$i = 0, ..., k - 1$$
,  $\mu(e_i)$  is a constant  $h$ , and  $\mu(s_i s_{i+1}) = \mu(t_i t_{i+1}) =: f_i$ .

We denote  $t_i$  by  $s_{i+k}$  and take indices modulo 2k. The desired gadget is represented by the graph G = (V, E) with the weights c(e) of edges  $e \in E$ , where  $V = T \cup \{z_0, \ldots, z_{2k-1}\}$  and for  $i = 0, \ldots, 2k-1$ ,

- (i)  $z_i$  is adjacent to both  $s_i$  and  $s_{i+k}$ , and  $c(z_i s_i) = c(z_i s_{i+k}) = N$  for a positive integer N (specified below);
- (ii)  $z_i$  and  $z_{i+1}$  are adjacent, and  $c(z_i z_{i+1}) = 1$ .

Figure 4 illustrates G for k = 4. We put  $s = s_0$ ,  $t = t_0$ ,  $x = z_0$  and  $y = z_k$ , and formally extend c by zero to  $E_V - E$ . We assert that (6.1) holds.

Indeed, each  $m \in \operatorname{Ext}^0(\mu, V)$  is associated with the mapping  $\gamma : \{z_0, \dots, z_{2k-1}\} \to T$ , where  $\gamma(z_i) = s_j$  if  $m(z_i s_j) = 0$ ; we say that  $z_i$  is attached by  $\gamma$  to  $s_j$  and denote m by  $m^{\gamma}$ . If  $\gamma(z_i) = v$ , then, letting  $\epsilon := \mu(s_i v) + \mu(v s_{i+k}) - \mu(s_i s_{i+k})$ , the contribution to the volume  $c \cdot m^{\gamma}$  due to the edges  $e = z_i s_i$  and  $e' = z_i s_{i+k}$  is equal to

$$c(e)m^{\gamma}(e) + c(e')m^{\gamma}(e') = N(m^{\gamma}(e) + m^{\gamma}(e')) = Nh + N\epsilon$$

cf. (6.3). We have  $\epsilon = 0$  if  $v \in \{s_i, s_{i+k}\}$ , and  $\epsilon \ge 1$  otherwise. Hence, every mapping  $\gamma$  pretending to be optimal or nearly optimal must attach each  $z_i$  to either  $s_i$  or  $s_{i+k}$  whenever N is chosen sufficiently large (e.g.,  $N = 1 + 2k \max\{\mu(st) : s, t \in T\}$ ).

Next, if  $z_i$  is attached to  $s_i$  (resp.  $s_{i+k}$ ) and  $z_{i+1}$  to  $s_{i+1}$  (resp.  $s_{i+1+k}$ ), then the edge  $u = z_i z_{i+1}$  contributes  $c(u)m^{\gamma}(u) = f_i$  (cf. (6.3), letting  $f_j = f_{j+k}$ . On the other hand, if  $z_i$  is attached to  $s_i$  (resp.  $s_{i+k}$ ) while  $z_{i+1}$  to  $s_{i+1+k}$  (resp.  $s_{i+1}$ ), then the contribution becomes  $h + f_i$  (=  $\mu(s_i t_{i+1})$ ).

So we can conclude that  $\hat{\tau} = 2khN + 2(f_1 + \ldots + f_k)$ , and there are precisely two optimal 0-extensions, namely,  $m^{\gamma_1}$  and  $m^{\gamma_2}$ , where  $\gamma_1(z_i) = s_i$  and  $\gamma_2(z_i) = s_{i+k}$  for  $i = 0, \ldots, 2k-1$ . This gives (i) in (6.1). Furthermore, one can see that if  $m^{\gamma}$  is the least-volume 0-extension induced by  $\gamma$  that brings both x, y either to s or to t, then  $m^{\gamma}(z_j z_{j+1}) = h + f_j$  for precisely two numbers  $j \in \{0, \ldots, 2k-1\}$  such that  $f_j = \min\{f_1, \ldots, f_k\}$ . So  $c \cdot m^{\gamma} = \hat{\tau} + 2h$ , yielding (6.1)(ii). Finally, (iii) is ensured by the choice of N.

Thus, (1.1) with  $\mu$  modular and H non-orientable is NP-hard. Moreover, it is strongly NP-hard because the number N is a constant depending only on  $\mu$ .

Next we consider the case when  $\mu$  is not modular. Let  $\Delta(x,y,z)$  denote the value (perimeter)  $\mu(xy) + \mu(yz) + \mu(zx)$  for  $x,y,z \in T$ . We fix a medianless triplet  $\{s_0,s_1,s_2\}$  such that  $\Delta(s_0,s_1,s_2) := \overline{\Delta}$  is minimum. By technical reasons, we put  $s_{i+3} = s_i$ , i = 0,1,2, and take indices modulo 6. The gadget (G = (V,E),c) that we construct has a somewhat more complicated structure compared with that for the corresponding unweighted case in [11, Sec. 6]. Here

$$V = T \cup Z$$
,  $Z = \{z_0, \dots, z_5\}$  and  $E = E_1 \cup E_2 \cup E_3$ .

For i=1,2,3, the edges  $e \in E_i$  are endowed with weights  $c_i(e)$ , and c(e) is defined to be  $N_i c_i(e)$ . The factors  $N_1, N_2, N_3$  are chosen so that  $N_1=1$ ,  $N_2$  is sufficiently large, and  $N_3$  is sufficiently large with respect to  $N_2$ . Informally speaking, the "heavy" edges of  $E_3$  provide that (at optimality or almost optimality) each point  $z_j$  gets into the interval  $I_j := \{v \in T : \mu(s_{j-1}v) + \mu(vs_{j+1}) = \mu(s_{j-1}s_{j+1})\}$ , then the "medium" edges of  $E_2$  make  $z_j$  choose only between the endpoints  $s_{j-1}, s_{j+1}$  of  $I_j$ , and finally the "light" edges of  $E_1$  provide the desired property (6.1).

As before,  $m^{\gamma}$  denotes the 0-extension of  $\mu$  to V induced by  $\gamma: Z \to T$ . Define  $d_i := d_{i+3} = \mu(s_{i-1}s_{i+1})$ . We say that a path  $P = (v_1, \ldots, v_k)$  on T is shortest if it is  $\mu$ -shortest.

The set  $E_3$  consists of the edges  $e_j = z_j s_{j-1}$  and  $e'_j = z_j s_{j+1}$  with  $c_3(e_j) = c_3(e'_j) = 1$  for j = 0, ..., 5. Then the contribution to  $c \cdot m^{\gamma}$  due to  $e_j$  and  $e'_j$  is  $N_3 d_j$  if  $\gamma(z_j) \in I_j$ , and at least  $N_3 d_j + N_3$  otherwise, yielding that  $z_j$  should be mapped into  $I_j$ , by the choice of  $N_3$ . The minimality of  $\overline{\Delta}$  provides the following useful property.

**Statement 6.1** For any  $v \in I_j$ , at least one of the paths  $P = (s_j, s_{j-1}, v)$  and  $P' = (s_j, s_{j+1}, v)$  is shortest.

**Proof.** Let for definiteness j=1. Suppose P' is not shortest. Then  $\mu(s_1v)<|P'|=\mu(s_1s_2)+\mu(s_2v)$  and  $\mu(s_0v)=\mu(s_0s_2)-\mu(s_2v)$  imply  $\Delta(s_1,v,s_0)<\overline{\Delta}$ . So  $s_1,v,s_0$  have a median w. If  $w=s_0$ , P is shortest. Otherwise we have  $\Delta(s_1,w,s_2)<\Delta$  (since  $\mu(s_1w)<\mu(s_1s_0)$  and the path  $(s_2,v,w,s_0)$  is, obviously, shortest). Then  $s_1,w,s_2$  have a median q. It is easy to see that q is a median for  $s_0,s_1,s_2$ ; a contradiction.

We now explain the construction of  $E_2$  and  $c_2$ . Each  $z = z_j$  (j = 0, ..., 5) is connected to each  $s_i$  (i = 0, 1, 2) by edge  $u_i = zs_i$  whose weight is defined by

$$c_2(u_i) = (d_{i-1} + d_{i+1} - d_i)/(d_{i-1}d_{i+1}) =: a_i$$
(6.4)

 $(a_i \text{ is positive and does not depend on } j)$ . Suppose z is mapped by  $\gamma$  to some  $s_i$ , say  $\gamma(z) = s_1$ . Then, up to a factor of  $N_2$ , the contribution to  $c \cdot m^{\gamma}$  from the edges  $u_0, u_1, u_2$  (concerning z) is

$$d_2 a_0 + d_0 a_2 = d_2 (d_1 + d_2 - d_0) / (d_1 d_2) + d_0 (d_1 + d_0 - d_2) / (d_0 d_1)$$

$$(d_1 + d_2 - d_0) / d_1 + (d_1 + d_0 - d_2) / d_1 = 2.$$

$$(6.5)$$

On the other hand, the contribution grows when  $z_i$  falls into the interior of any interval  $I_i$ .

**Statement 6.2** Let  $v \in I_i - \{s_{i-1}, s_{i+1}\}$ . Then  $\sigma := \sum (a_i \mu(s_i v) : i = 0, 1, 2) > 2$ .

**Proof.** Let for definiteness i = 0,  $\mu(s_1 v) = \epsilon$  and  $\mu(s_0 v) = d_2 + \epsilon$  (cf. Statement 6.1). Then

$$\sigma = (d_2 + \epsilon)a_0 + \epsilon a_1 + (d_0 - \epsilon)a_2 = d_2 a_0 + d_0 a_2 + \epsilon (a_0 + a_1 - a_2) = 2 + \epsilon (a_0 + a_1 - a_2),$$

in view of (6.5). We observe that  $a_0 + a_1 - a_2 > 0$ . Indeed,

$$d_0d_1d_2(a_0 + a_1 - a_2) = (d_0d_1 + d_0d_2 - d_0^2) + (d_1d_0 + d_1d_2 - d_1^2) - (d_2d_0 + d_2d_1 - d_2^2)$$
  
=  $2d_0d_1 - d_0^2 - d_1^2 + d_2^2 = d_2^2 - (d_0 - d_1)^2 > 0$ 

since  $d_2 > d_0 - d_1$ . So  $\sigma > 2$ .

Thus, by an appropriate choice of constants  $N_2$  and  $N_3$ , each point  $z_j$  must be mapped to either  $s_{j-1}$  or  $s_{j+1}$ . Such a mapping  $\gamma$  is called *feasible*. We now construct the crucial set  $E_1$  and function  $c_1$ . The set  $E_1$  consists of six edges  $g_j = z_j z_{j+1}$ ,  $j = 0, \ldots, 5$ , forming the 6-circuit C (this is similar to the construction in [11] motivated by [7]). The essense is how to assign  $c_1$ . For i = 0, 1, 2, let  $h_i := h_{i+3} := (d_{i-1} + d_{i+1} - d_i)/2$ . These numbers would be just the distances from  $s_0, s_1, s_2$  to their median if it existed, i.e.,

$$d_i = h_{i-1} + h_{i+1}. (6.6)$$

We define

$$c_1(z_j z_{j+1}) = c_1(z_{j+3} z_{j+4}) = h_{j-1}$$
 for  $j = 0, 1, 2$ . (6.7)

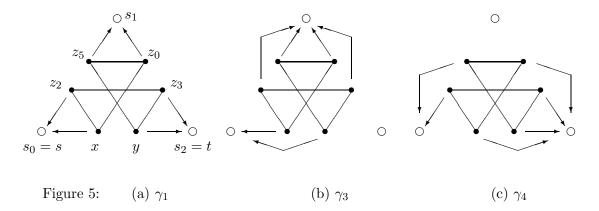
For  $\gamma: Z \to T$ , let  $\zeta^{\gamma}$  denotes  $\sum (c_1(g_j)m^{\gamma}(g_j): j=0,\ldots,5)$ , i.e.,  $\zeta^{\gamma}$  is the contribution to  $c \cdot m^{\gamma}$  from the edges of C. The analysis below will depend on the numbers

$$\rho = 2(h_0h_1 + h_1h_2 + h_2h_0) \quad \text{and} \quad \alpha = 2\min\{h_0^2, h_1^2, h_2^2\}.$$
(6.8)

W.l.o.g., assume  $h_0 \leq h_1, h_2$ , i.e.,  $2h_0^2 = \alpha$ . Our aim is to show that (6.1) holds if we take as s, t, x, y the elements  $s_0, s_2, z_1, z_4$ , respectively.

To show this, consider the mapping  $\gamma_1$  as drawn in Fig. 5a, i.e.,  $\gamma_1(z_j)$  is  $s_{j+1}$  for j=0,2,4 and  $s_{j-1}$  for j=1,3,5. This  $\gamma_1$  attaches x to s and y to t. In view of (6.6)–(6.8), we have

$$\zeta^{\gamma_1} = c_1(g_0)\mu(\gamma_1(z_0)\gamma_1(z_1)) + \ldots + c_1(g_5)\mu(\gamma_1(z_5)\gamma_1(z_0))$$
  
=  $h_2d_2 + h_0 \cdot 0 + h_1d_1 + h_2 \cdot 0 + h_0d_0 + h_1 \cdot 0 = h_2(h_0 + h_1) + h_1(h_0 + h_2) + h_0(h_1 + h_2) = \rho.$ 



Similarly,  $\zeta^{\gamma_2} = \rho$  for the symmetric mapping  $\gamma_2$  which is defined by  $\gamma_2(z_j) = \gamma_1(z_{j+3})$ , attaching x to t and y to s. We shall see later that  $\gamma_1$  and  $\gamma_2$  are just optimal mappings for our gadget.

The mappings pretending to provide (ii) in (6.1) are  $\gamma_3$  and  $\gamma_4$  illustrated in Fig. 5b,c; here both x, y are mapped by  $\gamma_3$  to s, and by  $\gamma_4$  to t. We have

$$\zeta^{\gamma_3} = h_2 d_2 + h_0 d_2 + h_1 \cdot 0 + h_2 d_2 + h_0 d_2 + h_1 \cdot 0 = (2h_2 + 2h_0)(h_0 + h_1)$$
$$= 2h_2 h_0 + 2h_2 h_1 + 2h_0^2 + 2h_0 h_1 = \rho + \alpha$$

and

$$\zeta^{\gamma_4} = h_2 \cdot 0 + h_0 d_1 + h_1 d_1 + h_2 \cdot 0 + h_0 d_1 + h_1 d_1 = (2h_0 + 2h_1)(h_0 + h_2)$$
$$= 2h_0^2 + 2h_0 h_2 + 2h_1 h_0 + 2h_1 h_2 = \rho + \alpha.$$

Now (6.1) is implied by the following.

**Statement 6.3** Let  $\gamma$  be a feasible mapping different from  $\gamma_1$  and  $\gamma_2$ . Then  $\zeta^{\gamma} \geq \rho + \alpha$ .

**Proof.** By (6.6),  $\zeta^{\gamma}$  is representable as a nonnegative integer combination of products  $h_i h_j$  for  $0 \le i, j \le 5$  (including i = j). The contribution  $\zeta_j$  to  $c \cdot m^{\gamma}$  from a single edge  $g_j = z_j z_{j+1}$  is as follows:

(6.9) (i) if 
$$\gamma(z_j) = \gamma(z_{j+1}) = s_{j-1}$$
, then  $\zeta_j = 0$ ;

(ii) if 
$$\gamma(z_j) = s_{j+1}$$
 and  $\gamma(z_{j+1}) = s_j$ , then  $\zeta_j = h_{j-1}d_{j-1} = h_{j-1}h_j + h_{j-1}h_{j+1}$ ;

(iii) if 
$$\gamma(z_j) = s_{j+1}$$
 and  $\gamma(z_{j+1}) = s_{j-1}$ , then  $\zeta_j = h_{j-1}d_j = h_{j-1}h_{j+1} + h_{j-1}^2$ ;

(iv) if 
$$\gamma(z_j) = s_{j-1}$$
 and  $\gamma(z_{j+1}) = s_j$ , then  $\zeta_j = h_{j-1}d_{j+1} = h_{j-1}h_j + h_{j-1}^2$ ;

We call  $g_j$  slanting if it is as in case (iii) or (iv) of (6.9). If no edge of C is slanting, then  $\gamma$  is either  $\gamma_1$  or  $\gamma_2$ . Otherwise C contains at least two slanting edges. In this case we observe from (6.9) that the representation of  $\zeta^{\gamma}$  includes  $h_i^2 + h_j^2$  (or  $2h_i^2$ ) for some i, j, which is at least  $\alpha$ . Now the result follows from the fact that the representation includes  $2h_ih_j$  for each  $0 \le i < j \le 2$ .

To see the latter, w.l.o.g., assume i = 0, j = 2, and consider the edges  $g_0$  and  $g_1$ . By (6.6),  $g_0$  contributes  $h_0h_2$  in cases (ii),(iv), i.e., when  $\gamma(z_1) = s_0$ . And if  $\gamma(z_1) = s_2$ , then  $g_1$  contributes  $h_0h_2$ . Similarly, the pair  $g_3, g_4$  contributes  $h_0h_2$ .

This completes the proof of Theorem 1.6.

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